# Continuous First-Order Logic

### Wesley Calvert



# Calcutta Logic Circle 4 September 2011

Wesley Calvert (SIU / IMSc)

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A first-order theory T is said to be *stable* iff there are less than the maximum possible number of types over T, up to equivalence.

### Theorem (Shelah's Main Gap Theorem)

If T is a first-order theory and is stable and ..., then the class of models looks like .... Otherwise, there's no hope.

#### Example

Let T be the theory of vector spaces over some infinite field. Then for each uncountable cardinal  $\kappa$ , there is exactly one model of T, up to isomorphism, with cardinality  $\kappa$ . Moreover, there are only countably many countable models, and we know what they are.

Let V be a vector space over  $\mathbb{R}$ . A norm on V is a function  $|\cdot|: V \to \mathbb{R}$  satisfying the following:

• For all  $x \in V$ , we have  $|x| \ge 0$ .

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- **③** For all  $x \in V$  and all  $\lambda \in \mathbb{R}$  we have  $|\lambda x| = |\lambda| \cdot |x|$ .
- For all  $x, y \in V$ , we have  $|x + y| \le |x| + |y|$ .

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#### Problem

Then how can we possibly think about Hilbert Spaces?

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We define  $L^{p}(\mathbb{R})$  to be the set of all functions  $f : \mathbb{R} \to \mathbb{R}$  such that  $||f||_{p} < \infty$ , with pointwise addition and scaling and the norm  $|| \cdot ||_{p}$ . Each space  $L^{p}(\mathbb{R})$  is a Banach space.

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# Example

Define  $\langle f,g \rangle := (\int fg)^{\frac{1}{2}}$ . Then  $L^2$ , with this inner product, is a Hilbert space.

Several themes join together here:

- Need a logic that describes ultraproduct and nonstandard hull constructions in functional analysis.
  - Ideally, it would use natural analytic language.
  - **2** Ideally, it would make at least some familiar structures stable.
- I How do we reason about probability?

### Roughly:

• Truth values are on the closed unit interval.

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- Quantifiers are sup and inf.

A continuous signature is an object of the form  $\mathcal{L} = (\mathcal{R}, \mathcal{F}, \mathcal{G}, n, d)$  where

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- $\ \, {\mathfrak G} \ \, {\rm has \ the \ form} \ \, \{\delta_{s,i}: (0,1] \rightarrow (0,1]: s \in \mathcal{R} \cup \mathcal{F} \ \, {\rm and} \ \, i < n_s \}$

Let  $\mathcal{L} = (\mathcal{R}, \mathcal{F}, \mathcal{G}, n)$  be a continuous signature. A *continuous*  $\mathcal{L}$ -pre-structure is an ordered pair  $\mathfrak{M} = (M, \rho)$ , where M is a non-empty set, and  $\rho$  is a function on  $\mathcal{R} \cup \mathcal{F}$  such that

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- To each function symbol f, the function  $\rho$  assigns a mapping  $f^{\mathfrak{M}}: M^{n(f)} \to M$
- ② To each relation symbol *P*, the function  $\rho$  assigns a mapping  $f^{\mathfrak{M}}: M^{n(P)} \rightarrow [0, 1]$

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- To each function symbol f, the function  $\rho$  assigns a mapping  $f^{\mathfrak{M}}: M^{n(f)} \to M$
- O To each relation symbol P, the function ρ assigns a mapping f<sup>m</sup>: M<sup>n(P)</sup> → [0, 1]
- **③** The function  $\rho$  assigns d to a pseudo-metric  $d^{\mathfrak{M}}: M \times M \rightarrow [0,1]$

4. For each  $f \in \mathcal{F}$  for each  $i < n_f$ , and for each  $\epsilon \in (0, 1]$ , we have

$$orall ar{a}, ar{b}, c, e\left[d^{\mathfrak{M}}(c, e) < \delta_{f, i} \Rightarrow d^{\mathfrak{M}}\left(f^{\mathfrak{M}}(ar{a}, c, ar{b}), f^{\mathfrak{M}}(ar{a}, e, ar{b})
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where  $lh(ar{a}) = i$  and  $lh(ar{a}) + lh(ar{b}) = n_f - 1$ 

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5. For each  $P \in \mathcal{R}$  for each  $i < n_P$ , and for each  $\epsilon \in (0, 1]$ , we have

$$\forall \bar{a}, \bar{b}, c, e\left[d^{\mathfrak{M}}(c, e) < \delta_{f, i} \Rightarrow |P^{\mathfrak{M}}(\bar{a}, c, \bar{b}) - P^{\mathfrak{M}}(\bar{a}, e, \bar{b})| \leq \epsilon\right]$$

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A continuous weak *L*-structure is a continuous *L*-pre-structure such that  $\rho$  assigns to *d* a metric.

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## Definition

A continuous  $\mathcal{L}$ -structure is a continuous  $\mathcal{L}$ -pre-structure such that  $\rho$  assigns to d a complete metric.

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Let  $p \in (0, 1)$ . Take a continuous signature with a single binary predicate, and make the metric discrete. Now for each pair, set

$$R(a,b) = \begin{cases} p & \text{if } a \neq b \\ 0 & \text{otherwise} \end{cases}$$

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ight.$$

This is an Erdős-Renyi random graph.

Let V denote the set of variables, and let  $\sigma: V \to M$ . Let  $\varphi$  be a formula.

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•  $\mathfrak{M}(\frac{1}{2}\alpha, \sigma) := \frac{1}{2}\mathfrak{M}(\alpha, \sigma)$   
•  $\mathfrak{M}(\sup_{x} \alpha, \sigma) := \sup_{a \in M} \mathfrak{M}(\alpha, \sigma_{x}^{a}), \text{ where } \sigma_{x}^{a} \text{ is equal to } \sigma \text{ except that } \sigma_{x}^{a}(x) = a.$ 

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- The *interpretation under* σ of a term t (written t<sup>M,σ</sup>) is defined by replacing each variable x in t by σ(x).
- 2 Let φ be a formula. We then define the value of φ in M under σ (written M(φ, σ)) as follows:

3 We write  $(\mathfrak{M}, \sigma) \models \varphi$  exactly when  $\mathfrak{M}(\varphi, \sigma) = 0$ .

Let  $S_0$  be a set of distinct propositional symbols. Let S be freely generated from  $S_0$  by the formal binary operation - and the unary operations  $\neg$  and  $\frac{1}{2}$ . Then S is said to be a *continuous propositional logic*.

Let  $\mathcal{S}$  be a continuous propositional logic.

**(**) if  $v_0 : S_0 \rightarrow [0, 1]$  is a mapping, we can extend  $v_0$  to a unique mapping  $v: \mathcal{S} \to [0, 1]$  by setting

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We say that v is the *truth assignment* defined by  $v_0$ .

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2 We write 
$$v \models \Sigma$$
 for some  $\Sigma \subseteq \mathcal{S}$  whenever  $v(\varphi) = 0$  for all  $\varphi \in \Sigma$ .

# Proposition (Ben Yaacov–Berenstein–Henson–Usvyatsov)

Let  $f(\bar{x}): [0,1]^n \to [0,1]$  be continuous. Then f can be approximated by something generated from  $\dot{-}, \neg$ , and  $\frac{1}{2}$ .

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# Theorem (Ben Yaacov-Berenstein-Henson-Usvyatsov)

The following CFO theories are stable

- Hilbert Spaces
- Atomless Probability Spaces

# Theorem (Compactness)

Let T be a CFO theory, and C a class of structures. Assume that T is finitely satisfiable in C. Then there is an ultraproduct of structures from C that is a model of T.

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#### Theorem

Let  $\kappa$  be an infinite cardinal, and L a signature of smaller size. Let  $\mathcal{M}$  be an L-structure, and  $A \subseteq M$  a set with density character at most  $\kappa$ . Then there is an elementary substructure  $\mathcal{N}$  of  $\mathcal{M}$  which contains A and has density character at most  $\kappa$ .

# Theorem (Ben Yaacov)

Let T be a countable CFO theory. If T is categorical in some uncountable cardinal, then it is categorical in all uncountable cardinals.

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- A "head" which "sits on" a single cell of the tape, holds a bit of data in its memory, and is capable of reading its cell, writing on its cell, or moving in either direction, and
- A "program" which instructs the head, given the memory state and the state of its cell what it should do next.

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- So I probably should.

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- We say that a probabilistic Turing machine M rejects n with probability p if and only if P{x ∈ 2<sup>ω</sup> : M<sup>x</sup>(n) ↓= 1} = p.

A set  $S \subseteq \mathbb{N}$  is of class BPP iff there is a probabilistic Turing machine which runs in polynomial time and gives the right answer to " $n \in S$ ?" at least  $\frac{3}{4}$  of the time.

Let  $\mathcal{L}$  be a computable continuous signature. Let  $\mathfrak{M}$  be a continuous  $\mathcal{L}$ -structure. Let  $\mathcal{L}(\mathfrak{M})$  be the expansion of  $\mathcal{L}$  by a constant  $c_m$  for each  $m \in M$  (i.e. a unary predicate  $c_m \in \mathcal{R}$  where  $c_m^{\mathfrak{M}}(x) := d(x, m)$ ).

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We treat a first-order structure  $\mathcal{M}$  as the set of Gödel codes for sentences in its atomic diagram. In particular,  $\mathcal{M}$  is said to be computable if and only if that set is computable.

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## Definition

We say that a continuous pre-structure  $\mathfrak{M}$  is probabilistically computable (respectively, probabilistically decidable) if and only if there is some probabilistic Turing machine T such that, for every pair  $(\varphi, p) \in D(\mathfrak{M})$ (respectively,  $D^*(\mathfrak{M})$ ) the machine T accepts  $\varphi$  with probability p.

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$${\sf R}({\sf a},{\sf b}) = \left\{egin{array}{cc} {\sf p} & {
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With a random real oracle, it is a classical Erdős-Renyi random graph.

# Lemma (No Derandomization Lemma)

There is a probabilistically computable weak structure  $\mathfrak{M}$  such that the set  $\{(\varphi, p) \in D(\mathfrak{M}) : p \in \mathcal{D}\}$  is not classically computable.

# Lemma (No Derandomization Lemma)

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### Proof.

Let U be a computably enumerable set, and let S be the complement of U. We construct a probabilistically computable function f such that

$$P(f^{\sigma}(x)=0)=\frac{1}{2}$$

if and only if  $x \in S$ .

# Proposition

For any probabilistically computable pre-structure  $\mathfrak{M}$ ,

• There is some (classically) computable function f, monotonically increasing in the second variable, and

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- There is some (classically) computable function f, monotonically increasing in the second variable, and
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such that for any pair  $(\varphi, p) \in D(\mathfrak{M})$ , we have  $\lim_{s \to \infty} f(\varphi, s) = p$  and  $\lim_{s \to \infty} g(\varphi, s) = p$ .

A first-order theory is said to be decidable iff there is a Turing machine which, given any sentence  $\varphi$  will determine whether  $T \vdash \varphi$ .

A real number  $x = \sum_{i=1}^{\infty} x_i 10^{-i}$  is said to be computable if and only if the sequence of digits  $x_i$  is computable.

# Definition (Ben Yaacov-Pedersen)

Let  $\mathcal{L}$  be a continuous signature and  $\Gamma$  a set of formulas of  $\mathcal{L}$ .

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$$\varphi_{\Gamma}^{\circ} := \sup \{\mathfrak{M}(\varphi, \sigma) : (\mathfrak{M}, \sigma) \models \Gamma\}.$$

If T is a complete continuous first-order theory, we say that T is decidable if and only if there is a (classically) computable function f such that f(φ) is an index for a computable real number equal to φ<sup>o</sup><sub>T</sub>.

## Theorem (Ben Yaacov-Pedersen)

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Proof.

- **()** A consistent CFO theory must be satisfied by some pre-structure
- Get a metric structure with exactly the same satisfaction properties

Let T be a decidable continuous first-order theory. Then there is a probabilistically decidable continuous weak structure  $\mathfrak{M}$  such that  $\mathfrak{M} \models T$ .

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- Pass to a Henkin complete theory (witnesses for all sup's).
- Pass to a maximal consistent theory.
- Build the model.

How to build the model:

If we have a proof of  $\varphi \doteq \frac{k}{2^n}$ , we make sure to accept  $\varphi$  with probability at least  $1 - \frac{k}{2^n}$ , by assigning some initial segments of the random string to accept  $\varphi$ .

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If we have a proof of  $\frac{k}{2^n} \div \varphi$ , then we do the opposite.

#### Lemma

If we have proofs of both  $\varphi \doteq \frac{k_1}{2^n}$  and  $\frac{k_2}{2^n} \doteq \varphi$ , then we have  $\left(1 - \frac{k_1}{2^n}\right) + \frac{k_2}{2^n} \le 1$ .

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#### Proof.

If not, then  $\frac{k_2}{2^n} \div \frac{k_1}{2^n} = 0$  so that  $k_1 \ge k_2$ .

Wesley Calvert (SIU / IMSc)

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#### Proof.

If not, then  $\frac{k_2}{2^n} \div \frac{k_1}{2^n} = 0$  so that  $k_1 \ge k_2$ . But also  $2^n - k_1 + k_2 > 1$ , so that  $k_2 \ge k_1$ .

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Let  $\Omega = (\Omega, \mu)$  be a measure space. Then a [0, 1]-valued random variable on  $\Omega$  is a measurable function  $\Omega \rightarrow [0, 1]$ .

#### Definition

Let  $A \subseteq [0, 1]$ , and X a random variable. Then  $P(X \in A) = \mu\{x : f(x) \in A\}.$ 

We'd like to have a unified computational and model-theoretic way to talk about random variables.

We define a system for reals and functions of reals:

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- A *name* for a real number x is a decreasing sequence of closed intervals with rational endpoints whose intersection is x.
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The real numbers aren't that special here.

Let  $\Omega$  be a measure space that doesn't foil our efforts ([0, 1] is good). Let X be a [0, 1]-valued random variable on  $\Omega$ . Then the following are equivalent:

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- 2 The distribution of X is computable.
- If *M* is a probabilistically computable structure containing a quantifier-free copy of a dense subspace Ω' ⊆ (Ω, μ) and of the computable real numbers, then there is a probabilistically computable expansion of *M* by  $X \upharpoonright_{Ω'}$ .

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- There is a probabilistically computable structure in which a copy of X ↾<sub>Ω'</sub> is quantifier-free definable, for some dense Ω' ⊆ Ω.

There is a uniform collection of independent computable standard normal random variables.

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## Example

There are computable Bernoulli, Binomial, Geometric, and Poisson random variables.

There is a computable Wiener process.

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# Example

Stochastic integration in the sense of Itô (or of Stratonovich) is a computable operator.

# Continuous First-Order Logic

## Wesley Calvert



# Calcutta Logic Circle 4 September 2011

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Continuous First-Order Logic

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