Stability of Coalescence Hidden Variable Fractal Interpolation Surfaces

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Abstract: In the present paper, the stability of Coalescence Hidden variable Fractal Interpolation Surfaces (CHFIS) is established. The estimates on error in approximation of the data generating function by CHFIS are found when there is a perturbation in independent, dependent and hidden variables. It is proved that any small perturbation in any of the variables of generalized interpolation data results in only small perturbation of CHFIS. Our results are likely to be useful in investigations of texture of surfaces arising from the simulation of surfaces of rocks, sea surfaces, clouds and similar natural objects wherein the generating function depends on more than one variable.

Keywords: affine; coalescence; fractal; iterated function system; fractal interpolation function; fractal interpolation surfaces; interpolation; smoothness; stability; simulation

1 Introduction

The theory of fractal interpolation has become a powerful tool in applied science and engineering since Barnsley [1] introduced Fractal Interpolation Function (FIF) using the theory of Iterated Function System (IFS). Massopust [8] extended this concept to Fractal Interpolation Surface (FIS) using IFS wherein he assumed the surface as triangular simplex and interpolation points on the boundary to be co-planar. In view of lack of flexibility in his construction, Geronimo and Hardin [6] generalized the construction of FIS by allowing more general boundary data. Subsequently, Xie and Sun [10] used bivariate functions on rectangular grids with arbitrary contraction factors and without any condition on boundary points to construct Bivariate FIS. Dalla [5] improvised this construction by using collinear boundary points and proved that the attractor is continuous FIS. However, all the constructions mentioned above lead to self-similar attractors.

A non-diagonal IFS that generates both self-affine and non-self-affine FIS simultaneously depending on the free variables and constrained variables on a general set of interpolation data is constructed in [2]. The attractor of such an IFS is called Coalescence Hidden-variable Fractal Interpolation Surface (CHFIS). Since the CHFIS passes through the given data points, any small perturbation in the data points results in the perturbation of the corresponding CHFIS.

The construction of a Coalescence Hidden-variable Fractal Interpolation Function (CHFIF) of one variable and investigation of its stability is studied in [3, 4]. A CHFIF is an important tool in the study of highly uneven curves like fractures in rocks, seismic fracture, lightening, ECG, etc. However, it can not be applied for the study of highly uneven surfaces such as surfaces of rocks [10], sea surfaces [9], clouds [11] and many other naturally occurring objects for which the generating function depends on more than one variable. A CHFIS is a preferred choice for the study of these naturally occurring objects. The quantification of smoothness of such surfaces in terms of Lipschitz exponent of its corresponding CHFIS is investigated recently in [7]. The purpose of the present paper is to investigate the stability of such CHFIS. The estimates on error in approximation of the data generating function by CHFIS are found individually when there is a perturbation in independent, dependent or hidden variable. These estimates together give the total error estimate on CHFIS when there is perturbation in all these variables simultaneously. It is proved that any small perturbation in any of the variables of generalized interpolation data results in only small perturbation of CHFIS. Unlike the case of CHFIF, the stability of CHFIS is studied with respect to Manhattan metric and requires the perturbations in generalized interpolation data to be governed by an invariance of ratio condition. Our results are likely to find applications in texture of surfaces of naturally occurring objects like surfaces of rocks, sea surfaces, clouds, etc..

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A brief introduction of CHFIS is given in Section 2. In Section 3, some auxiliary results that are needed to establish the stability of CHFIS are derived. Our main stability result is established in Section 4 via stability results found individually for perturbation in independent variables, the dependent variable and the hidden variable. Finally, these results are illustrated in Section 5 through simulation of a sample surface with given data as well as with perturbed data obtained by small variations of concerned variables in the given data.

2 Preliminaries

For the given interpolation data \( \{(x_i, y_j, z_{ij}) : i = 0, 1, \ldots, N \text{ and } j = 0, 1, \ldots, M\} \), where, \( N, M \in \mathbb{N}, z_{ij} \in (a, b) \) and \( -\infty < a < b < \infty \), consider the generalized interpolation data \( \Delta = \{(x_i, y_j, z_{ij}, t_{ij}) : i = 0, 1, \ldots, N \text{ and } j = 0, 1, \ldots, M\} \), where \( t_{ij} \in (c, d) \) and \( -\infty < c < d < \infty \). Set \( I = [x_0, x_N], J = [y_0, y_M], S = I \times J, D = (a, b) \times (c, d) \), \( I_n = [x_{n-1}, x_n], J_m = [y_{m-1}, y_m] \text{ and } S_{n,m} = I_n \times J_m \) for \( n = 1, \ldots, N \) and \( m = 1, \ldots, M \). Let, the mappings \( \phi_n : I \to I_n, \psi_m : J \to J_m \) and \( F_{n,m} : S \times D \to D \) for \( n = 1, \ldots, N \), and \( m = 1, \ldots, M \) be defined as follows:

\[
\phi_n(x) = x_{n-1} + \frac{x - x_{n-1}}{x_N - x_0}, \quad \psi_m(y) = y_{m-1} + \frac{y - y_{m-1}}{y_M - y_0}, \quad F_{n,m}(x, y, z, t) = (e_{n,m}x + f_{n,m}y + g_{n,m}z + h_{n,m}t + \tilde{e}_{n,m}x + \tilde{f}_{n,m}y + \gamma_{n,m}z + \bar{h}_{n,m}t).
\]

Here, \( \alpha_{n,m} \) and \( \gamma_{n,m} \) are free variables chosen such that \( |\alpha_{n,m}| < 1 \) and \( |\gamma_{n,m}| < 1 \). \( \beta_{n,m} \) is a constrained variable chosen such that \( |\beta_{n,m}| + |\gamma_{n,m}| < 1 \). Let the function \( F_{n,m} \) satisfy the following joint-up condition:

\[
F_{n,m}(x_0, y_0, z_{0,0}, t_0) = \left( z_{n-1,m-1}, t_{n-1,m-1} \right), \quad F_{n,m}(x_N, y_N, z_{N,0}, t_0) = \left( z_{n-1,m-1}, t_{n-1,m-1} \right), \quad F_{n,m}(x_0, y_M, z_{0,M}, t_0) = \left( z_{n-1,m-1}, t_{n-1,m-1} \right), \quad F_{n,m}(x_N, y_M, z_{N,M}, t_0) = \left( z_{n-1,m-1}, t_{n-1,m-1} \right).
\]

Using the condition (1), the values of \( e_{n,m}, f_{n,m}, g_{n,m}, k_{n,m}, \tilde{e}_{n,m}, \tilde{f}_{n,m}, \tilde{g}_{n,m} \) and \( \tilde{k}_{n,m} \) are determined as follows:

\[
ge_{n,m} = \frac{z_{n-1,m-1} - z_{n-2,m-1} - z_{n,m-1} + z_{n,m-1} + \alpha_{n,m}e_{n,m} - \beta_{n,m}t_{n,m}}{x_N - x_0}, \quad f_{n,m} = \frac{z_{n-1,m-1} - z_{n-2,m-1} - z_{n,m-1} + \gamma_{n,m}e_{n,m} - \gamma_{n,m}t_{n,m}}{y_M - y_0}, \quad k_{n,m} = \frac{z_{n-1,m-1} - z_{n-2,m-1} - z_{n,m-1} + \beta_{n,m}e_{n,m} - \beta_{n,m}t_{n,m}}{x_N - x_0}.
\]

Now define the functions \( G_{n,m}(x, y, z, t) \) and \( \omega_{n,m}(x, y, z, t) \) as

\[
G_{n,m}(x, y, z, t) = \begin{cases} F_{n,m+1}(x_{0}, y_{0}, z_{0}, t), & x = x_{N}, \quad y = y_{N}, \quad n = 1, \ldots, N - 1, \quad m = 1, \ldots, M, \\ F_{n,m+1}(x_{0}, y_{0}, z_{0}, t), & y = y_{N}, \quad n = 1, \ldots, N \quad m = 1, \ldots, M - 1, \\ F_{n,m+1}(x_{0}, y_{0}, z_{0}, t), & \text{otherwise.} \end{cases}
\]

and \( \omega_{n,m}(x, y, z, t) = (\phi_n(x), \psi_m(y), G_{n,m}(x, y, z, t)) \). Then, \( \mathbb{R}^4, \omega_{n,m} : n = 1, \ldots, N; m = 1, \ldots, M \) constitutes an IFS for the generalized interpolation data \( \Delta \). It is known [2] that there exists a metric \( \tau \) on \( \mathbb{R}^4 \), equivalent to the Euclidean metric, such that the IFS is hyperbolic with respect to \( \tau \) and there exists a unique non-empty compact set \( G \subseteq \mathbb{R}^4 \) such that \( G = \bigcup_{n=1}^{N} \bigcup_{m=1}^{M} \omega_{n,m}(G) \). The set \( G \) is called the attractor of the IFS for the given interpolation data. Further, \( G \) is the graph of a continuous function \( F : S \to \mathbb{R}^2 \) such that \( F(x_i, y_j) = (z_{ij}, t_{ij}) \) for \( i = 0, 1, \ldots, N \) and \( j = 0, 1, \ldots, M \) i.e. \( G = \{(x, y, F(x, y)) : (x, y) \in S \text{ and } F(x, y) = (z(x, y), t(x, y))\} \).

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Definition 1 Let $F(x, y)$ be written component-wise as $F(x, y) = (F_1(x, y), F_2(x, y))$. The Coalescence Hidden-variable Fractal Interpolation Surface (CHFIS) for the given interpolation data \{(x_i, y_j, z_{ij}) : i = 0, 1, \ldots, N \text{ and } j = 0, 1, \ldots, M\} is defined as the surface $z = F_1(x, y)$ in $\mathbb{R}^3$.

It is easily seen that the function $F(x, y)$ described above satisfies

$$F(x, y) = G_{n,m}(\phi_n^{-1}(x), \psi_m^{-1}(y), F(\phi_n^{-1}(x), \psi_m^{-1}(y)))$$

for all $(x, y) \in S_{n,m}$, $n = 1, 2, \ldots, N$ and $m = 1, 2, \ldots, M$. Consequently, if the function $G_{n,m}(x, y, z, t)$ is written component-wise as $G_{n,m}(x, y, z, t) = (G_{n,m}^1(x, y, z, t), G_{n,m}^2(x, y, z, t))$, then

$$F_1(\phi_n(x), \psi_m(y)) = G_{n,m}^1(x, y, F_1(x, y), F_2(x, y)) = \alpha_{n,m} F_1(x, y) + \beta_{n,m} F_2(x, y) + p_{n,m}(x, y)$$

$$F_2(\phi_n(x), \psi_m(y)) = G_{n,m}^2(x, y, F_1(x, y), F_2(x, y)) = \gamma_{n,m} F_2(x, y) + q_{n,m}(x, y)$$

where

$$p_{n,m}(x, y) = e_{n,m} x + f_{n,m} y + g_{n,m} xy + k_{n,m}$$

$$q_{n,m}(x, y) = e_{n,m} x + f_{n,m} y + g_{n,m} xy + k_{n,m} \quad \text{for all } x, y \in S_{n,m}$$

3 Some Auxiliary Results

In this section, we develop some results that are needed in the sequel for investigating the stability of CHFIS in Section 4.

Let $-\infty < x_0 < x_1 < \ldots < x_N$ and $-\infty < y_0 < y_1 < \ldots < y_M$ be a bounded set of real numbers in $x$ and $y$ axis. Denote $I^* = [x_0, x_N]$, $J^* = [y_0, y_M]$, $S^* = I^* \times J^*$, $I_n^* = [x_{n-1}, x_n]$, $J_m^* = [y_{m-1}, y_m]$ and $S^*_{n,m} = I^*_n \times J^*_m$ for $n = 1, \ldots, N$ and $m = 1, \ldots, M$. Similar to $\phi_n$ and $\psi_m$ in section 2, we construct homeomorphisms $\phi_n^*$ and $\psi_m^*$ where $\phi_n^*: I^* \rightarrow I_n^*$ and $\psi_m^*: J^* \rightarrow J_m^*$. Now, define the map $R_{n,m}: S_{n,m} \rightarrow S^*_{n,m}$ by

$$R_{n,m}(x, y) = \left( x_{n-1} + \frac{x_n - x_{n-1}}{x_n - x_{n-1}} (x - x_{n-1}), y_{m-1} + \frac{y_m - y_{m-1}}{y_m - y_{m-1}} (y - y_{m-1}) \right)$$

and the linear piecewise map $R: S \rightarrow S^*$ as

$$R(x, y) = R_{n,m}(x, y) \quad \text{for all } x \in I_n \text{ and } y \in J_m$$

Similarly, the maps $K_{n,m}: S^*_{n,m} \rightarrow S_{n,m}$ and $K: S^* \rightarrow S$ are defined as

$$K_{n,m}(x^*, y^*) = \left( x_{n-1} + \frac{x_n - x_{n-1}}{x_n - x_{n-1}} (x^* - x_{n-1}), y_{m-1} + \frac{y_m - y_{m-1}}{y_m - y_{m-1}} (y^* - y_{m-1}) \right)$$

and

$$K(x^*, y^*) = K_{n,m}(x^*, y^*) \quad \text{for all } x^* \in I_n^* \text{ and } y^* \in J_m^*.$$

It is easily seen that $K = R^{-1}$. Set $\xi_n(x, y) = (\phi_n(x), \psi_m(y))$ and $\xi_{n,m}(x, y) = (\phi_n(x), \psi_m(y))$. We assume the following invariance of ratio condition for any two sets $\Delta = \{(x_i, y_j, z_{ij}, t_{ij}) : i = 0, 1, \ldots, N \text{ and } j = 0, 1, \ldots, M\}$ and $\Delta^* = \{(x_i^*, y_j^*, z_{ij}, t_{ij}) : i = 0, 1, \ldots, N \text{ and } j = 0, 1, \ldots, M\}$ of the generalized interpolation data points:

$$\frac{(x_0 - x_N)}{(x_0^* - x_N^*)} = \frac{(x_{n-1} - x_n)}{(x_{n-1}^* - x_n^*)} \quad \text{and} \quad \frac{(y_0 - y_M)}{(y_0^* - y_M^*)} = \frac{(y_{m-1} - y_m)}{(y_{m-1}^* - y_m^*)}$$

By (6), we observe that for $n = 1, 2, \ldots, N$ and $m = 1, 2, \ldots, M$,

$$\xi_{n,m}(x^*, y^*) = (R \circ \xi_{n,m} \circ K)(x^*, y^*)$$

$$F_{n,m}(x^*, y^*, z, t) = F_{n,m}(K(x^*, y^*), z, t)$$

Thus, the dynamical systems $\{S; \xi_{n,m}\}$ and $\{S^*; \xi_{n,m}^*\}$ are equivalent. Using this equivalence of dynamical systems, we first prove the following proposition needed for establishing the smoothness of CHFIS in Proposition 2.
Proposition 1 Let $\Delta = \{(x_i, y_j, z_{ij}, t_{ij}) : i = 0, 1, \ldots, N \text{ and } j = 0, 1, \ldots, M\}$ and $\Delta^* = \{(x_i^*, y_j^*, z_{ij}^*, t_{ij}^*) : i = 0, 1, \ldots, N \text{ and } j = 0, 1, \ldots, M\}$ be any two sets of generalized interpolation data for the IFS $\{\mathbb{R}^4; \omega_{n,m}(x, y, z, t), n = 1, \ldots, N; m = 1, \ldots, M\}$ and $\{\mathbb{R}^4; \omega_{n,m}^*(x^*, y^*, z^*, t), n = 1, \ldots, N; m = 1, \ldots, M\}$ respectively, with the same choice of free variables and constrained variable. Let the points of $\Delta$ and $\Delta^*$ satisfy the invariance of ratio condition (6). Then, $F$, as defined in Section 2, is the CHFIS associated with $\{\mathbb{R}^4; \omega_{n,m}(x, y, z, t), n = 1, \ldots, N; m = 1, \ldots, M\}$ if $F \circ R^{-1}$ is the CHFIS associated with $\{\mathbb{R}^4; \omega_{n,m}^*(x^*, y^*, z^*, t), n = 1, \ldots, N; m = 1, \ldots, M\}$, where $R$ is defined by (4).

Proof. Let $F(x, y)$ be the CHFIS associated with the IFS $\{\mathbb{R}^4; \omega_{n,m}(x, y, z, t), n = 1, \ldots, N; m = 1, \ldots, M\}$. It follows from (7) that,

$$F_{n,m}(\xi^{-1}_{n,m}(x, y), F(x, y)) = F_{n,m}(K \circ \xi^{-1}_{n,m}(x, y), F(R(x, y)))$$

Thus, $F(x, y)$ is the CHFIS associated with $\{\mathbb{R}^4; \omega_{n,m}^*(x^*, y^*, z^*, t), n = 1, \ldots, N; m = 1, \ldots, M\}$.

Conversely, assume that the above identity holds for CHFIS $F \circ R^{-1}$. Then, for $x \in I_n$ and $y \in J_m$,

$$F_{n,m}(\xi^{-1}_{n,m}(x, y), F(x, y)) = F_{n,m}(K \circ \xi^{-1}_{n,m}(x, y), F(R(x, y)))$$

Hence, $F(x, y)$ is the CHFIS associated with the IFS $\{\mathbb{R}^4; \omega_{n,m}(x, y, z, t), n = 1, \ldots, N; m = 1, \ldots, M\}$. $
\blacksquare$

Let $X = (x_1, x_2), Y = (y_1, y_2) \in \mathbb{R}^2$ and $d_M(X, Y) = \sum_{i=1}^{2} |x_i - y_i|$ be the Manhattan metric on $\mathbb{R}^2$. A function $F : \mathbb{R}^2 \to \mathbb{R}$ is said to be a Lipschitz function of order $\delta$ (written as $F \in Lip \delta$) if it satisfies the condition $|F(X) - F(Y)| \leq c |d_M(X, Y)|^{\delta}$, $\delta \in (0, 1]$ and $c$ is a real number. The real number $\delta$ is called the Lipschitz exponent and $c$ is called the Lipschitz constant.

Note that the definition of Lipschitz exponent depends a priori on Manhattan metric. However, since on finite dimensional vector space, all metrics are equivalent; the above definition of Lipschitz exponent agrees with the definition of Lipschitz exponent with respect to Euclidean Metric. We also observe that the invariance of ratio conditions (6) are equivalent to requiring the Lipschitz constants for functions $\phi_n$ and $\phi_n^*$ as well as those for $\psi_n$ and $\psi_n^*$ to be equal. This gives another reason for the dynamical systems $\{S; \xi_{n,m}\}$ and $\{S^*; \xi_{n,m}^*\}$ to be equivalent as observed earlier. The dynamics of $\{S; \xi_{n,m}\}$ and $\{S^*; \xi_{n,m}^*\}$ is entirely determined by these Lipschitz constants.

Let $I_{r_1, \ldots, r_n} \equiv \phi_{r_1}(0) \cup I_{r_1}$ and $J_{s_1, \ldots, s_n} \equiv \psi_{s_1}(0) \cup J_{s_1}$, where $|I_{r_1}|$ and $|J_{s_1}|$ denote the length of the intervals $I_{r_1}$ and $J_{s_1}$ respectively. Let $b_{r_1, \ldots, r_n, s_1, \ldots, s_n} = \int_{I_{r_1, \ldots, r_n}} \int_{J_{s_1, \ldots, s_n}} F_1(x, y) \, dx \, dy.$

Define

$$Q_n(F_1(X)) := \sum_{r_1, \ldots, r_n=1}^{N} \sum_{s_1, \ldots, s_n=1}^{N} \chi_{S_{r_1, \ldots, r_n, s_1, \ldots, s_n}}(X) b_{r_1, \ldots, r_n, s_1, \ldots, s_n}$$

where, $S_{r_1, \ldots, r_n, s_1, \ldots, s_n} = I_{r_1, \ldots, r_n} \times J_{s_1, \ldots, s_n}$ and $\chi_{S_{r_1, \ldots, r_n, s_1, \ldots, s_n}}(X) = \begin{cases} 1, & X \in S_{r_1, \ldots, r_n, s_1, \ldots, s_n} \\ 0, & \text{otherwise} \end{cases}$

It is known [7] that $Q_n(F_1(X))$ converges to $F_1(X)$ uniformly with respect to Manhattan metric. Using this fact and finding a bound on maximum distance between $Q_n(F_1(X))$ and $Q_n(F_1(\hat{X}))$, the Lipschitz exponent of CHFIS $F_1$ is found in the following proposition when $p_{n,m}$ and $q_{n,m}$, given by (3), belong to Lip 1.

Proposition 2 Let $F_1(x, y), 0 \leq x, y \leq \frac{1}{2}$, be the CHFIS for the interpolation data $\Delta_0 = \{(x_i, y_j, z_{ij}, t_{ij}) : i, j = 0, 1, \ldots, N\}$ where, $x_0 = y_0 = 0$ and $x_N = y_N = 1/2$. Then, $F_1 \in Lip \delta$ for some $\delta \in (0, 1]$. 

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Proof. Using the values of $\tilde{c}_{n,m}$, $f_{n,m}$, $g_{n,m}$, $k_{n,m}$, $\tilde{c}_{n,m}$, $\tilde{f}_{n,m}$, $\tilde{g}_{n,m}$ and $\tilde{k}_{n,m}$ as defined in (2), we find that, for $0 < x < \tilde{x} < \frac{1}{2}$ and $0 < y < \tilde{y} < \frac{1}{2}$,

$$
|p_{n,m}(x, y) - p_{n,m}(\tilde{x}, \tilde{y})| \leq 8 \cdot [(1 + \alpha) Z_{\max} + \beta T_{\max}] \cdot |x - \tilde{x}| + |y - \tilde{y}|
$$

$$
|q_{n,m}(x, y) - q_{n,m}(\tilde{x}, \tilde{y})| \leq 8 \cdot [(1 + \gamma) T_{\max}] \cdot |x - \tilde{x}| + |y - \tilde{y}|
$$

where, $Z_{\max} = \max\{|z_{i,j} - z_{k,l}|\}$ and $T_{\max} = \max\{|t_{i,j} - t_{k,l}|\}$ for $i, j, k, l = 0, . . . , N$. Therefore, the bound on $|Q_n(F_1(X)) - Q_n(F_1(\tilde{X}))|$ is obtained as

$$
|Q_n(F_1(X)) - Q_n(F_1(\tilde{X}))| \leq M \left[ d_M(X, \tilde{X}) \right] \left\{ (1 + (\Omega) + \ldots + (\Omega)^{(m-2)} + (\beta) \cdot [ (1 + (\gamma) + \ldots + (\gamma)^{(m-4)} + (\Gamma)^2 + (\Omega)^{(m-5)} + \ldots + (\Omega)^{(m-3)} ] \right\}
$$

where $\Omega = \max\{\sqrt[n,m]{\frac{n,m}{|n,m|}} : n, m = 1, 2, . . . , N\}$ and $\Gamma = \max\{\sqrt[n,m]{\frac{n,m}{|n,m|}} : n, m = 1, 2, . . . , N\}$. The above inequality (8) is similar to the inequality 4.1 of [7] except that, in this case we have, $\Omega = \Omega = \Theta$ and $\Gamma = \Gamma$. By employing the same technique of proof here and using the inequalities $0 < ((\alpha |x| + |y|)^{2} \log((|x| + |y|) \leq \frac{1}{2} x$ and $0 < ((\alpha + |y|)^{2} \log((|x| + $|y|) \leq \frac{1}{2} x$, we find that, for different magnitudes of $\Omega$ and $\Gamma$, $\Omega > 1$, $\Omega = 1$ or $\Omega < 1$; $\Gamma > 1$, $\Gamma = 1$ or $\Gamma < 1$, the bound on $|Q_n(F_1(X)) - Q_n(F_1(\tilde{X}))|$ is obtained as $|Q_n(F_1(X)) - Q_n(F_1(\tilde{X}))| \leq M \left[ d_M(X, \tilde{X}) \right]^4$ for some $\delta \in [0, 1]$. Using the fact $Q_n(F_1(X))$ converges to $F_1(X)$ uniformly with respect to Manhattan metric, we get $F_1$ is Lip $\delta$. □

**Corollary 3** Let $F_1$ be the CHFIS for the generalized interpolation data $\Delta = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i,j = 0, 1, . . . , N\}$. Then, there exist constants $K$ and $\delta$, independent of $z_{i,n}$ and $t_{i,n}$, such that

$$
|Q_n(F_1(X)) - Q_n(F_1(\tilde{X}))| \leq M K \left[ d_M(X, \tilde{X}) \right]^4
$$

where, $X = (x, y)$ and $\tilde{X} = (x, \tilde{y})$.

Proof. Define a linear homeomorphism $R : [0, 1] \times [0, 1]$ that transforms the given interpolation data $\Delta$ to the data $\Delta^*_0 = \{(0, 0, 0, 0), (x_0^*, 0, z_{1,0}, t_{1,0}), \ldots, (\frac{1}{2}, 0, z_{N,0}, t_{N,0}), (0, y_1^*, 0, t_{0,1}), \ldots, (0, \frac{1}{2}, 0, z_{N,0}, t_{N,0})\}$. Proposition (2) applied on the data $\Delta^*_0$ gives $|Q_n(F_1 \circ R^{-1}(X^*)) - Q_n(F_1 \circ R^{-1}(\tilde{X}^*))| \leq M \left[ d_M(X^*, \tilde{X}^*) \right]^4$. Now, using Proposition (1) with $\Delta$ and $\Delta^*_0$, the corollary follows. □

## 4 Stability of CHFIS

To prove the main stability result of CHFIS, we need to investigate its stability with respect to perturbations in independent variables, the dependent variable and the hidden variable of the generalized interpolated data. We develop these results first.

The following theorem gives the effect on stability of CHFIS due to perturbation in independent variables:

**Theorem 4** Let $F_1$ and $G_1$, with the same choice of free variables and constrained variable, be CHFIS respectively for the generalized interpolation data $\Delta = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i,j = 0, 1, . . . , N\}$ and $\Delta^* = \{(x^*_i, y^*_j, z_{i,j}, t_{i,j}) : i,j = 0, 1, . . . , N\}$ that satisfy the invariance of ratio condition (6) and $S^* \subset S$, where $S^* = \{x^*_0, x^*_N\} \times \{y^*_0, y^*_M\}$ and $S = \{x_0, x_N\} \times \{y_0, y_M\}$. Then,

$$
\|F_1 - G_1\|_\infty \leq M \left\{ \frac{2\gamma}{(1 - \alpha) + (1 - \gamma)} + \frac{1 + \alpha}{1 - \alpha} \right\} \max \left\{ |x_n - x^*_n| + |y_m - y^*_m| \right\} : n, m = 0, . . . , N
$$

Proof. Let the function $G(x, y)$ corresponding to the generalized interpolation points $\Delta^*$ be defined as $G(x, y) = G_{n,m}(\phi^*_n(x), \psi^*_n(y), G(\phi^*_m(x), \psi^*_m(y)))$ for $x \in [x^*_n-1, x^*_n]$ and $y \in [y^*_n-1, y^*_m]$. By (6) and (7), we observe,

$$
G_1(R(\phi_n(x), \psi_n(y))) = F_{n,m}(x, y, G \circ R(x, y))
$$

$$
= \alpha_{n,m} \left( G \circ R \right)(x, y) + \beta_{n,m} \left( G \circ R \right)(x, y) + \epsilon_{n,m} x + f_{n,m} y + g_{n,m} xy + k_{n,m}
$$

$$
G_2(R(\phi_n(x), \psi_n(y))) = F_{n,m}(x, y, G \circ R(x, y))
$$

$$
= \gamma_{n,m} \left( G \circ R \right)(x, y) + \epsilon_{n,m} x + \tilde{f}_{n,m} y + \tilde{g}_{n,m} xy + \tilde{k}_{n,m}
$$

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Thus, we have,
\[
|G_1(R(\phi_n(x), \psi_m(y))) - F_1(\phi_n(x), \psi_m(y))| \\
\leq |\alpha| |G_1 \circ R(x, y) - F_1(x, y)| + |\beta| |G_2 \circ R(x, y) - F_2(x, y)| \\
\leq |\alpha| |G_1 \circ R(x, y) - F_1(x, y)| + |\alpha| |F_1 \circ R(x, y) - F_1(x, y)| + |\beta| |G_2 \circ R(x, y) - F_2(x, y)|
\]

Using Proposition 2 and Corollary 3, it follows that there exist constants $\hat{M}$ and $\delta$ which are independent of $x_{n,m}$ and $t_{n,m}$ such that $|F_1(X) - F_1(X)| \leq \hat{M} d_M(X, \hat{X})^\delta$ where, $X = (x, y)$ and $\hat{X} = (\hat{x}, \hat{y})$. Since $(x, y) \in S$ implies $(x, y) \in S_{n,m}$ for some $n, m = 1, \ldots, N$, it is easily seen that $R(x, y) \in S_{n,m}$ which in turn gives $d_M(X, R(X)) \leq \max\{(|x_n - x_n^*| + |y_m - y_m^*|) : n, m = 0, 1, \ldots, N\}$. Thus, the last inequality reduces to
\[
\|G_1 \circ R - F_1\|_\infty \leq |\alpha| \|G_1 - F_1\|_\infty + |\beta| \|G_2 \circ R - F_2\|_\infty \\
+ |\alpha| \hat{M} \max\{(|x_n - x_n^*| + |y_m - y_m^*|)^\delta : n, m = 0, 1, \ldots, N\}
\]

Now, $\|G_1 - F_1\|_\infty \leq \|G_1 - F_1 \circ R^{-1}\|_\infty + \|F_1 \circ R^{-1} - F_1\|_\infty$. Therefore,
\[
\|G_1 - F_1\|_\infty \leq \frac{\delta}{(1 - \alpha)} \|G_2 \circ R - F_2\|_\infty \\
+ \frac{(1 + \alpha)}{(1 - \alpha)} \hat{M} \max\{(|x_n - x_n^*| + |y_m - y_m^*|)^\delta : n, m = 0, 1, \ldots, N\}
\]

In order to find an upper bound of $\|G_2 \circ R - F_2\|_\infty$ in (11), we observe that,
\[
|G_2 \circ R(\phi_n(x), \psi_m(y)) - F_2(\phi_n(x), \psi_m(y))| \\
\leq |\gamma| \bigg\{ |G_2 \circ R(x, y) - F_2 \circ R(x, y)| + |F_2 \circ R(x, y) - F_2(x, y)| \bigg\}
\]

The above inequality holds for all $n, m = 1, 2 \ldots N$. So,
\[
\|G_2 \circ R - F_2\|_\infty \leq |\gamma| \|G_2 - F_2\|_\infty + |\gamma| \hat{M} \max\{(|x_n - x_n^*| + |y_m - y_m^*|)^\delta : n, m = 0, 1, \ldots, N\}
\]

Hence,
\[
\|G_2 - F_2\|_\infty \leq \hat{M} \frac{1 + \gamma}{(1 - \gamma)} \max\{(|x_n - x_n^*| + |y_m - y_m^*|)^\delta : n, m = 0, 1, \ldots, N\}
\]

Therefore,
\[
\|G_2 \circ R - F_2\|_\infty \leq \hat{M} \frac{2(\gamma)}{(1 - \gamma)} \max\{(|x_n - x_n^*| + |y_m - y_m^*|)^\delta : n, m = 0, 1, \ldots, N\}
\]

Substituting inequality (12) in inequality (11), we get the required bounds. $
$

The following theorem gives stability of CHFIS when there is a perturbation in the dependent variable.

**Theorem 5** Let $F_1$ and $G_1$, with the same choice of free variables and constrained variable, be CHFIS respectively for the generalized interpolation data $\Delta = \{(i, j, z_{i,j}) : i, j = 0, 1, \ldots, N\}$ and $\Delta^* = \{(i, j, z_{i,j}^*, t_{i,j}) : i, j = 0, 1, \ldots, N\}$. Then,
\[
\|F_1 - G_1\|_\infty \leq \frac{4(1 + \alpha)}{(1 - \alpha)} \max\{z_{n,m} - z_{n,m}^* : n, m = 0, 1, \ldots, N\}
\]

**Proof.** In view of Proposition 1, we may assume $[x_0, x_N] \times [y_0, y_N] = [0, \frac{1}{2}] \times [0, \frac{1}{2}]$. Since the independent variables and hidden variable are same in both the interpolation data, the self affine FIS are the same i.e $F_2(x, y) = G_2(x, y)$. The value of $c_{n,m}$, $f_{n,m}$, $g_{n,m}$, $k_{n,m}$ differs from $c_{n,m}^*$, $f_{n,m}^*$, $g_{n,m}^*$, $k_{n,m}^*$ as the perturbation occurs in dependent variable. Therefore, for $n, m = 1, 2, \ldots, N$,
\[
|F_1(\phi_n(x), \psi_m(y)) - G_1(\phi_n(x), \psi_m(y))| \\
\leq |\alpha| |F_1(x, y) - G_1(x, y)| \\
+ (1 + \alpha) \left[2|x - \frac{1}{2}| + 2|y - \frac{1}{2}| + 4|xy - \frac{1}{4}| + 1\right] \max\{z_{n,m} - z_{n,m}^* : n, m = 0, 1, \ldots, N\}
\]
Using Theorems (4)-(7), the main stability result for CHFIS is now obtained as follows:

\[
\Delta = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i, j = 0, 1, \ldots, N\}
\]

Definition 2

Theorems (4)-(7) suggest the following definition of a metric on a generalized interpolation data needed to formulate our

\[
\text{Proposition 6} \quad \text{Let } F_1 \text{ and } G_1, \text{ with the same choice of free variables and constrained variable, be CHFIS respectively for the generalized interpolation data } \Delta = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i, j = 0, 1, \ldots, N\} \text{ and } \Delta^\ast = \{(x_i, y_j, z_{i,j}, t_{i,j}^\ast) : i, j = 0, 1, \ldots, N\}. \text{ Then,}
\]

\[
\|F_2 - G_2\|_\infty \leq \frac{4(1 + \gamma)}{(1 - \gamma)} \max \{|t_{n,m} - t_{n,m}^\ast| : n = 0, 1, \ldots, N; m = 0, 1, \ldots, N\}
\]

\[
\text{Proof. By Proposition 1, we may assume } [x_0, x_N] \times [y_0, y_N] = [0, \frac{1}{2}] \times [0, \frac{1}{2}]. \text{ Hence,}
\]

\[
|F_2(\phi_n(x), \psi_m(y)) - G_2(\phi_n(x), \psi_m(y))| \leq |\gamma| |F_2(x, y) - G_2(x, y)| + \left|2x - \frac{1}{2}\right| + \left|2y - \frac{1}{2}\right| + 4|x y - \frac{1}{4}| + 1 \times (1 + \gamma) \max \{|t_{n,m} - t_{n,m}^\ast| : n, m = 0, 1, \ldots, N\}
\]

The above inequality is true for all \(\phi_n(x)\) and \(\psi_m(y); n, m = 1, 2, \ldots, N\) giving the required bounds for \(\|F_2 - G_2\|_\infty\). \]

\[
\text{Theorem 7} \quad \text{Let } F_1 \text{ and } G_1, \text{ with the same choice of free variables and constrained variable, be CHFIS respectively for the generalized interpolation data } \Delta = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i, j = 0, 1, \ldots, N\} \text{ and } \Delta^\ast = \{(x_i, y_j, z_{i,j}, t_{i,j}^\ast) : i, j = 0, 1, \ldots, N\}. \text{ Then,}
\]

\[
\|F_1 - G_1\|_\infty \leq \frac{8\beta}{(1 - \alpha)(1 - \gamma)} \max \{|t_{n,m} - t_{n,m}^\ast| : n, m = 0, 1, \ldots, N\}
\]

\[
\text{Proof. We may assume } [x_0, x_N] \times [y_0, y_N] = [0, \frac{1}{2}] \times [0, \frac{1}{2}] \text{ by Proposition 1. Thus,}
\]

\[
|F_1(\phi_n(x), \psi_m(y)) - G_1(\phi_n(x), \psi_m(y))| \leq |\alpha| |F_1(x, y) - G_1(x, y)| + |\beta| |F_2(x, y) - G_2(x, y)|
\]

\[
+ |\beta| \left|2x - \frac{1}{2}\right| + \left|2y - \frac{1}{2}\right| + 4|x y - \frac{1}{4}| + 1 \max \{|t_{n,m} - t_{n,m}^\ast| : n, m = 0, 1, \ldots, N\}
\]

Using equation(14) and since \(\left|2x - \frac{1}{2}\right| + \left|2y - \frac{1}{2}\right| + 4|x y - \frac{1}{4}| + 1 \leq 4\), we get the desired bounds in (7).

Theorems (4)-(7) suggest the following definition of a metric on a generalized interpolation data needed to formulate our main result on stability for CHFIS.

Definition 2

Let \(S(\Delta)\) be the set of generalized interpolation data. The metric \(d(\Delta^1, \Delta^2)\) on the set \(S(\Delta) \subset \mathbb{R}^3\) is defined as:

\[
d(\Delta^1, \Delta^2) = \frac{8\beta}{(1 - \alpha)(1 - \gamma)} \max \{|t_{n,m}^1 - t_{n,m}^2| : n, m = 0, 1, \ldots, N\}
\]

\[
+ \frac{4(1 + \alpha)}{(1 - \alpha)} \max \{|z_{n,m}^1 - z_{n,m}^2| : n, m = 0, 1, \ldots, N\}
\]

\[
+ \left[\frac{2\beta \gamma}{(1 - \alpha)(1 - \gamma)} + \frac{(1 + \alpha)}{(1 - \alpha)}\right] M \times \max \{(x_{n}^1 - x_{n}^2)^2 + (y_{n}^1 - y_{n}^2)^2 : n, m = 0, 1, \ldots, N\}
\]

where \(\Delta^m = \{(x_i^m, y_j^m, z_{i,j}^m, t_{i,j}^m) : i, j = 0, 1, \ldots, N\} \in S(\Delta), m = 1, 2\) and satisfy invariance of ratio condition (6).

Using Theorems (4)-(7), the main stability result for CHFIS is now obtained as follows:
Theorem 8 Let $F_1$ and $G_1$, with the same choice of free variables and constrained variable, be CHFIS respectively for the generalized interpolation data $\Delta = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i, j = 0, 1, \ldots, N\}$ and $\Delta^* = \{(x_i^*, y_j^*, z_{i,j}^*, t_{i,j}^*) : i, j = 0, 1, \ldots, N\}$ that satisfy the invariance of ratio condition (6). Then,

$$\|F_1 - G_1\|_\infty \leq d(\Delta, \Delta^*).$$

Proof. Let $\Delta_i^* = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i, j = 0, 1, \ldots, N\}$ and $\Delta_2^* = \{(x_i, y_j, z_{i,j}, t_{i,j}) : i, j = 0, 1, \ldots, N\}$ be two generalized interpolation data having CHFIS $F_i$ and $F_i^*$ respectively with the same choice of free variables and constrained variable as for $F_1$ and $G_1$. By considering the pairs $(\Delta, \Delta_1^*)$, $(\Delta_1^*, \Delta_2^*)$ and $(\Delta_2^*, \Delta^*)$ and applying Theorems (4)-(7) for these sets of data with appropriate CHFIS, it follows that,

$$\|F_1 - G_1\|_\infty \leq \|F_1 - F_1^*\|_\infty + \|F_1^* - F_1^{**}\|_\infty + \|F_1^{**} - G_1\|_\infty \leq d(\Delta, \Delta^*).$$

5 Error bounds for a Sample Surface

Consider the sample CHFIS (c.f. Fig. 1) generated by the data in rows 1-3 of Table 1 with $\alpha_{n,m} = 0.7$, $\beta_{n,m} = 0.4$ and $\gamma_{n,m} = 0.5$. Perturbed values in independent variables $x_n$ and $y_m$ are chosen such that the invariance of ratio condition (6) is satisfied. However, perturbed values of dependent variable $z_{n,m}$ and hidden variable $t_{n,m}$ are randomly generated.

Figs. 2(a) and 2(b) are simulations of CHFIS generated corresponding to perturbations in independent variables $x_n$ and $y_m$ (c.f. Table 1 Case (i(a)) and Case (ii(b))). The bound on error $\|F - G\|_\infty$ (c.f. Theorem 4) for these simulated surfaces are found to be 0.0217 and 2.1667 when $\max\{|x_n - x_n^*| + |y_m - y_m^*| : n, m = 0, 1, 2\}$ is 0.002 and 2 respectively (c.f. Table 2).

Figs. 3(a) and 3(b) demonstrate the effect of perturbations in hidden variable $t_{n,m}$ (c.f. Table 1 Case (iii(a)) and Case (ii(b))). The bound on error $\|F - G\|_\infty$ (c.f. Theorem 5) for these simulations are 0.0227 and 2.2667 when $\max\{|z_{n,m}^1 - z_{n,m}^2| : n, m = 0, 1, 2\}$ is 0.001 and 1 respectively (c.f. Table 2).

Figs. 4(a) and 4(b) demonstrate the effect of perturbations in hidden variable $t_{n,m}$ (c.f. Table 1 Case (iii(a)) and Case (ii(b))). The bound on error $\|F - G\|_\infty$ (c.f. Theorem 7) for these simulated surfaces equals 0.0213 and 2.1333 when $\max\{|t_{n,m}^1 - t_{n,m}^2| : n, m = 0, 1, 2\}$ is 0.001 and 1 respectively (c.f. Table 2).

Finally, Fig. 5(a) and 5(b) give the perturbed images that is simulated by simultaneously using perturbed independent variable, perturbed dependent variable $z_{n,m}^*$ and perturbed hidden variable (c.f. Table 1 Case (i(a)), (ii(a)), (iii(a)) and Case (ii(b)), (iii(b)) respectively). The computed bound on error $\|F - G\|_\infty$ (c.f. Theorem 8) for these perturbations in all the variables is found to be 0.0657 and 5.667 when $\max\{|x_n - x_n^*| + |y_m - y_m^*| : n, m = 0, 1, 2\}$ is 0.002 and 2, $\max\{|z_{n,m}^1 - z_{n,m}^2| : n, m = 0, 1, 2\}$ is 0.001 and 1 and $\max\{|t_{n,m}^1 - t_{n,m}^2| : n, m = 0, 1, 2\}$ is 0.001 and 1 respectively.

<table>
<thead>
<tr>
<th>Data Points</th>
<th>$x_n$, $y_m$</th>
<th>$z_{n,m}$</th>
<th>$t_{n,m}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case(i)</td>
<td>(0.00, 0.10)</td>
<td>0.4, 0.5</td>
<td>0.4, 0.5</td>
</tr>
<tr>
<td>Case(ii)</td>
<td>(0.00, 0.10)</td>
<td>0.5, 0.5</td>
<td>0.5, 0.5</td>
</tr>
<tr>
<td>Case(iii)</td>
<td>(0.00, 0.10)</td>
<td>0.6, 0.5</td>
<td>0.6, 0.5</td>
</tr>
</tbody>
</table>

Table 1: Data points and their perturbations for a sample surface
Table 2: Error due to perturbation

<table>
<thead>
<tr>
<th>Perturbation</th>
<th>Maximum Manhattan Metric</th>
<th>Error</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case(i)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(a): Perturbed ((x^<em>_n, y^</em>_m))</td>
<td>0.002</td>
<td>0.0217</td>
</tr>
<tr>
<td>(b): Perturbed ((x^<em>_n, y^</em>_m))</td>
<td>0.2</td>
<td>2.1667</td>
</tr>
<tr>
<td>Case(ii)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(a): Perturbed (z^*_n, m)</td>
<td>0.001</td>
<td>0.0227</td>
</tr>
<tr>
<td>(b): Perturbed (z^*_n, m)</td>
<td>0.1</td>
<td>2.2667</td>
</tr>
<tr>
<td>Case(iii)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(a): Perturbed (t^*_n, m)</td>
<td>0.001</td>
<td>0.0213</td>
</tr>
<tr>
<td>(b): Perturbed (t^*_n, m)</td>
<td>0.1</td>
<td>2.1333</td>
</tr>
</tbody>
</table>

(a) Simulated Surface due to perturbation in independent variables (c.f. Case (i(a)))
(b) Simulated Surface due to perturbation in independent variables (c.f. Case (i(b)))

Figure 2: Simulated Surfaces due to perturbation in independent variables (c.f. Case (i))

6 Conclusion

The present paper explores the stability of CHFIS when there is a perturbation in independent variables, the dependent variable and the hidden variable. The stability during the perturbations in all the variables simultaneously is observed to be the combined individual effect of perturbations in each variable on the stability of CHFIS. The bound on error in the approximation of the data generating function by CHFIS is
Figure 3: Simulated Surfaces due to perturbation in dependent variable (c.f. Case (ii))

Figure 4: Simulated Surfaces due to perturbation in hidden variable (c.f. Case (iii))
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Figure 5: Simulated Surfaces due to perturbation in all the variables described individually for each case of perturbation in independent, dependent and hidden variables. These bounds together are employed to find the total error bound on CHFIS when there is perturbation in all the variables simultaneously. The stability results found here are illustrated through a sample surface. Our results are likely to find applications in investigations concerning texture of surfaces of naturally occurring objects like surfaces of rocks [10], sea surfaces [9], clouds [11] etc.

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References


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