Primer on MIMO wireless systems

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IIT Kanpur

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Brief Introduction

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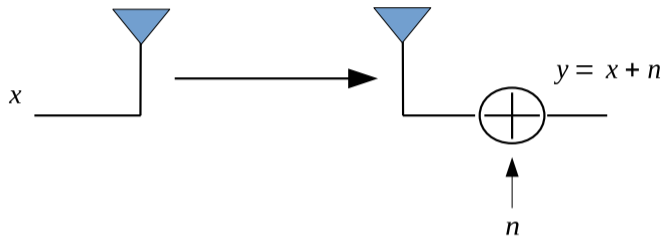 - MIMO wireless communications
  - 5G NR
  - Digital communications

Modeling of a communication channel

- Consider additive white Gaussian channel (AWGN) channel

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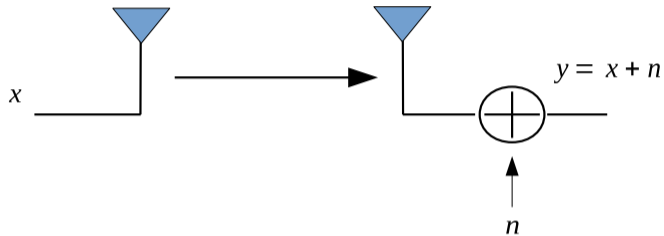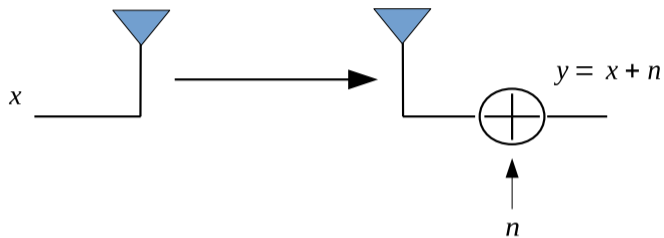
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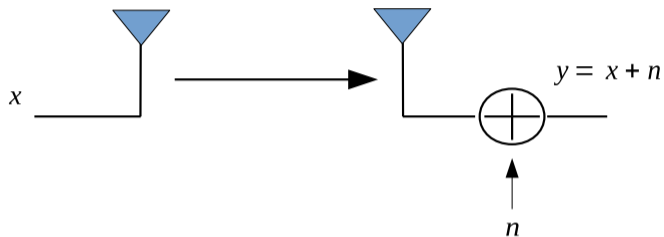- x - input with power P

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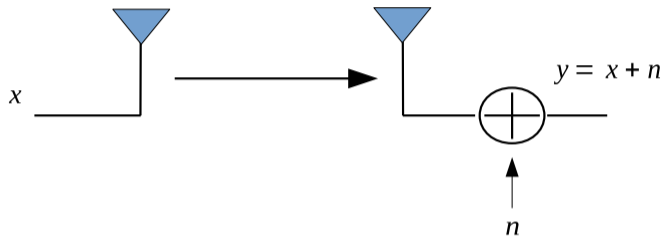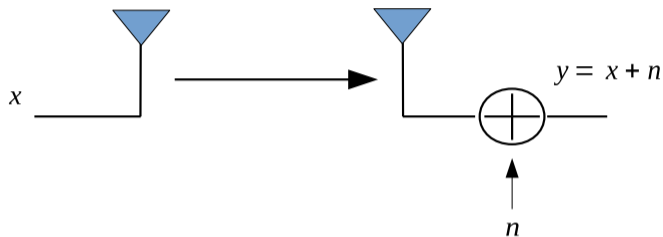
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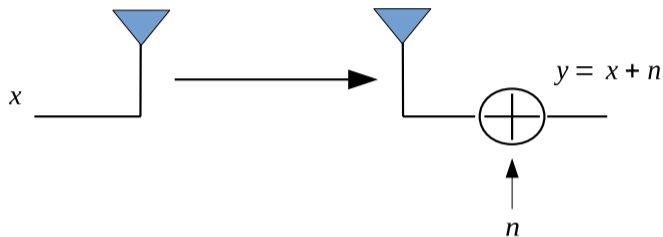
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  - Power of $n_1 + n_2 = 2N_0$

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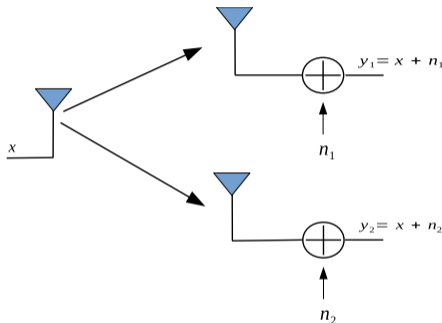- Multiple receive and transmit antennas help us transmit multiple streams in parallel

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  - Useful at high SNR

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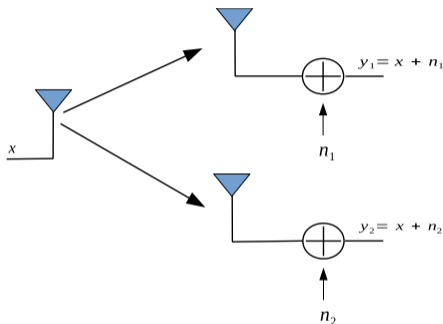
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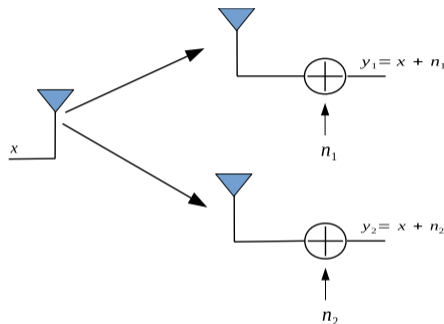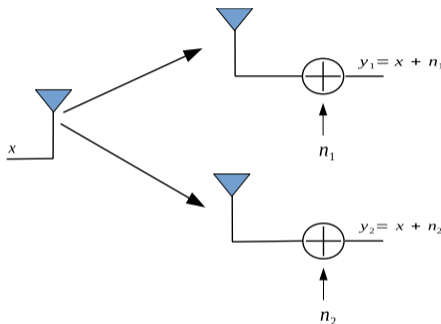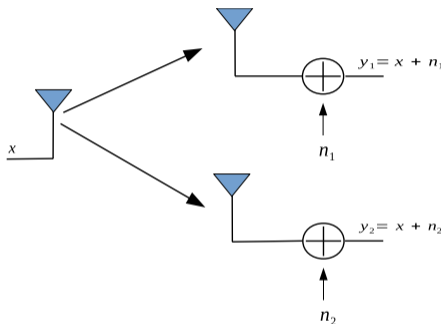# Single transmit and multiple receive antennas (SIMO)

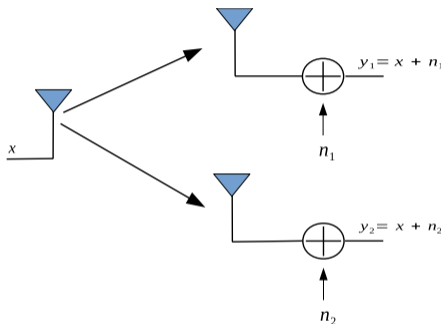- Consider AWGN channel with 1 transmit antenna and 2 receive antennas
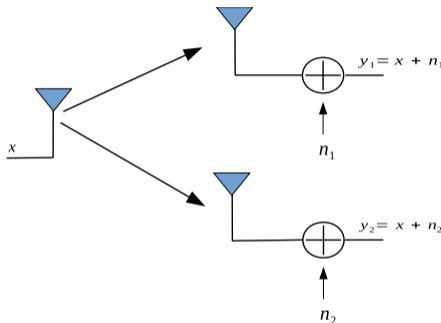
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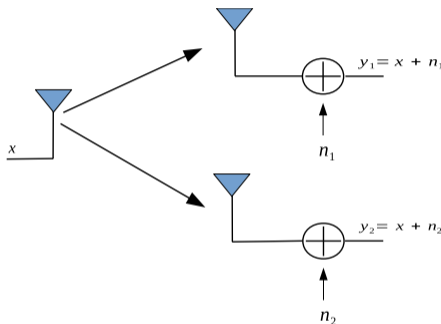- Noise power is N_0 and independent across antennas. We simply add these 2 receive signals

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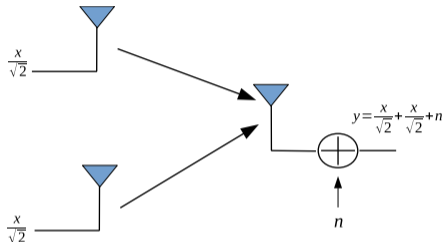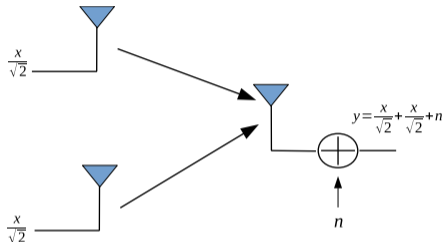# Multiple transmit and single receive antenna (MISO)

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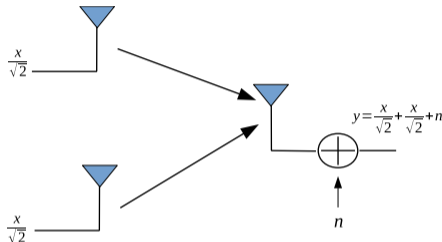

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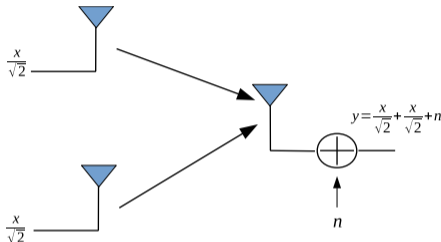- Consider AWGN channel with 2 transmit and 1 receive antenna. We still transmit one symbol x

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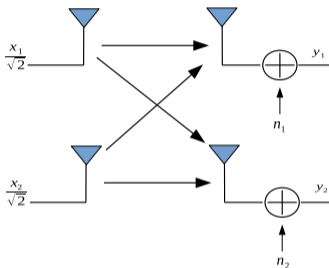
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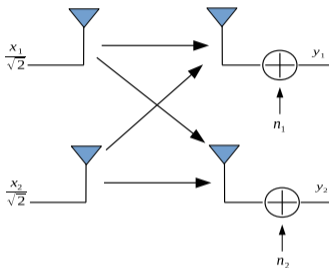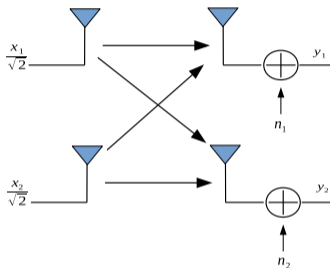
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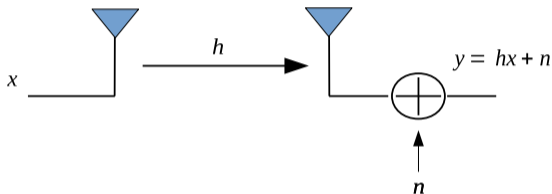y_2 &= \frac{x_1}{\sqrt{2}} + \frac{x_2}{\sqrt{2}} + n_2
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- One equation two variables; cannot solve it
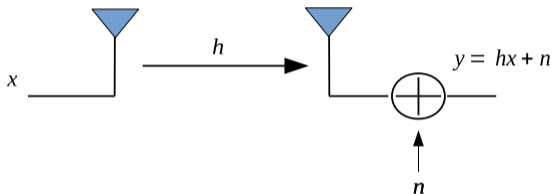
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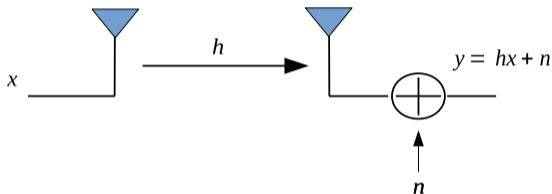
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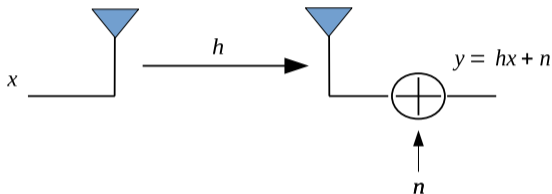

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$$C = \log\left(1 + \frac{P|h|^2}{N_0}\right)$$

Fixed single-antenna wireless channels

- Consider the following single-antenna fixed wireless channel. Modeled as a complex number h
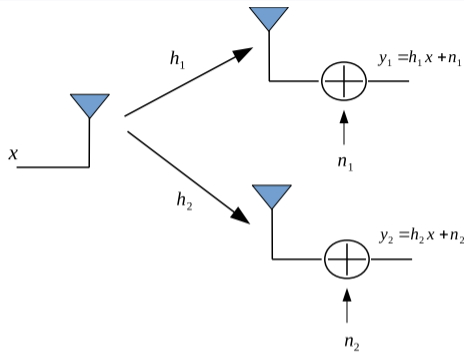

- AWGN channel with SNR $= \frac{P|h|^2}{N_0}$. Therefore its spectral efficiency is
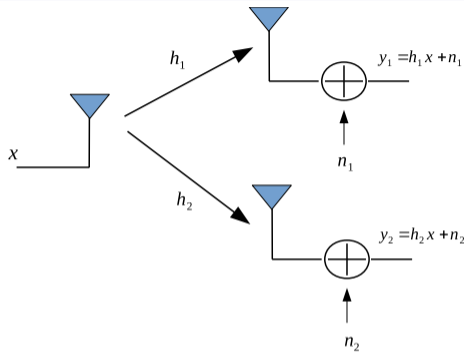
$$C = \log\left(1 + \frac{P|h|^2}{N_0}\right)$$

- Also know as transmission mode 1 in LTE

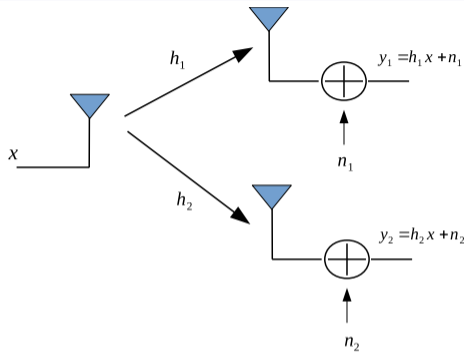# Single transmit and multiple receive antennas wireless channel

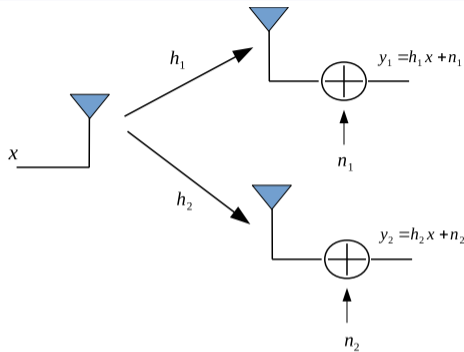# Single transmit and multiple receive antennas wireless channel

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$$y \;=\; h_1^* y_1$$

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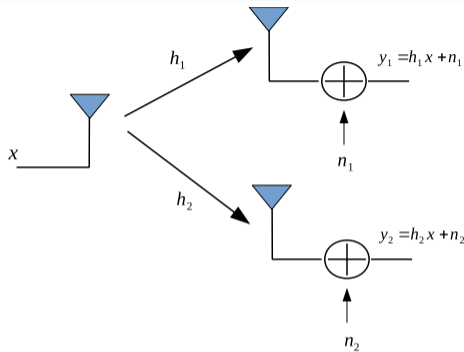- We weigh and add these 2 receive signals (receive beamforming/matched filtering)

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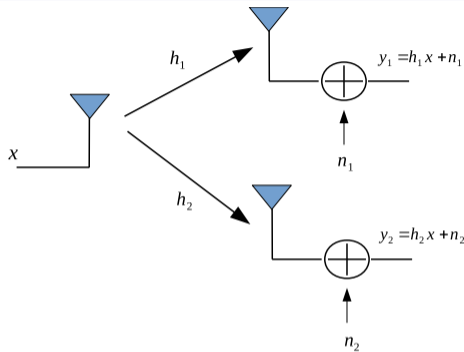
$$y = h_1^* y_1 + h_2^* y_2 = (|h_1|^2 + |h_2|^2)x$$

Single transmit and multiple receive antennas wireless channel

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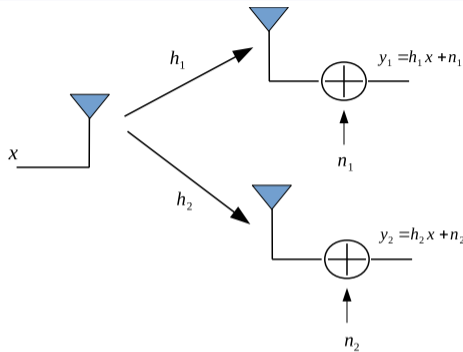$$y \;=\; h_1^* y_1 + h_2^* y_2 = (|h_1|^2 + |h_2|^2)x + h_1^* n_1 + h_2^* n_2$$

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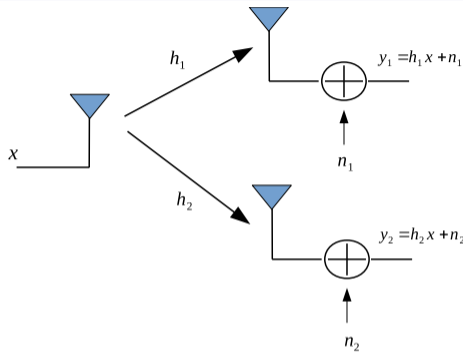$$\mathsf{SNR} = \frac{(|h_1|^2 + |h_2|^2)^2}{(|h_1|^2 + |h_2|^2)N_0}$$

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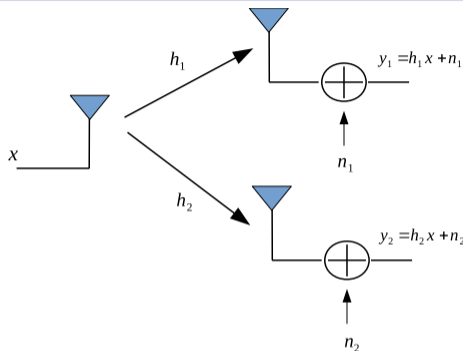$$y = h_1^* y_1 + h_2^* y_2 = (|h_1|^2 + |h_2|^2)x + h_1^* n_1 + h_2^* n_2$$

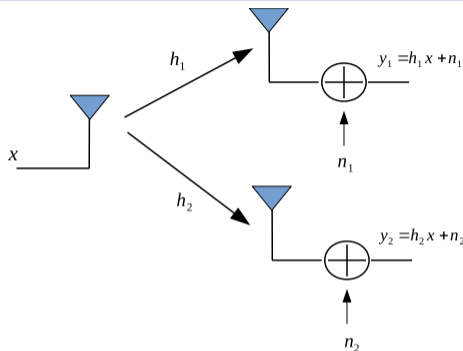$$\text{SNR} = \frac{(|h_1|^2 + |h_2|^2)^2}{(|h_1|^2 + |h_2|^2)N_0} = \frac{(|h_1|^2 + |h_2|^2)P}{N_0}$$

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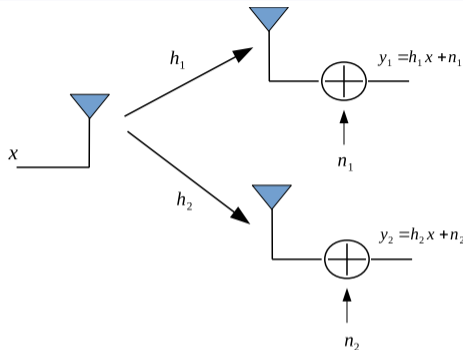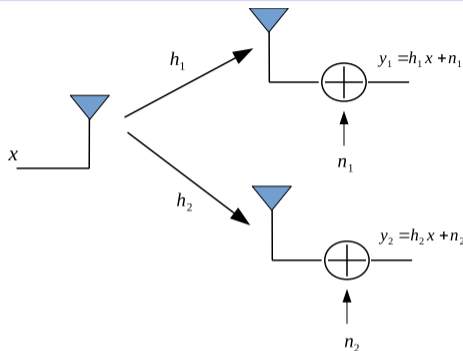

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Multiple transmit and single receive antennas wireless channel (1)
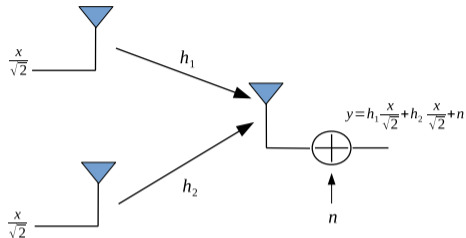
Multiple transmit and single receive antennas wireless channel (1)

$$\frac{x}{\sqrt{2}}$$

$$h_1$$

$$h_2$$

$$y = h_1 \frac{x}{\sqrt{2}} + h_2 \frac{x}{\sqrt{2}} + n$$

$$n$$
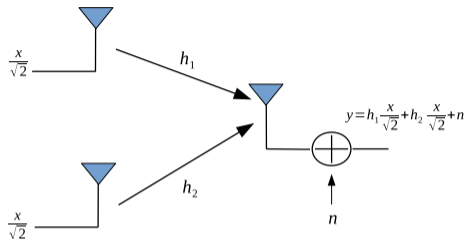
- Assume h_1 and h_2 are not known at the transmitter. Transmit $\frac{x}{\sqrt{2}}$ from each antenna

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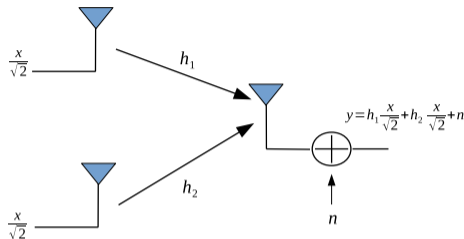$$y \;=\; h_1 \frac{x}{\sqrt{2}} + h_2 \frac{x}{\sqrt{2}} + n = (h_1 + h_2)\frac{x}{\sqrt{2}} + n$$
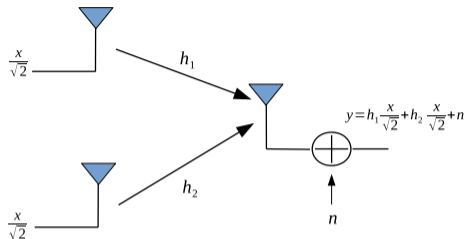
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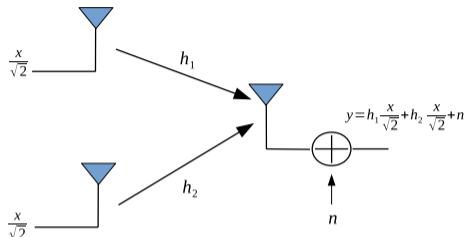
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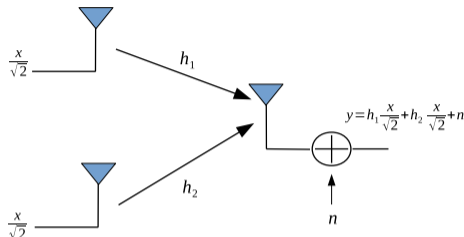
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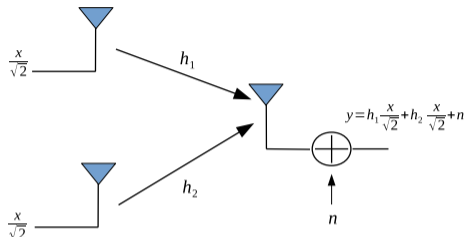$$y = h_1 \frac{x}{\sqrt{2}} + h_2 \frac{x}{\sqrt{2}} + n = (h_1 + h_2)\frac{x}{\sqrt{2}} + n = \tilde{h}\frac{x}{\sqrt{2}} + n \quad \text{with SNR} = \frac{|\tilde{h}|^2 P}{2N_0}$$

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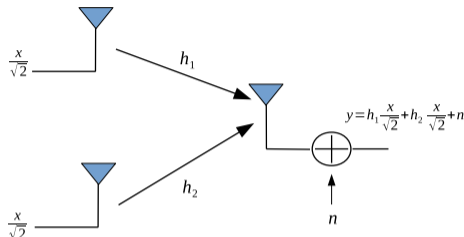
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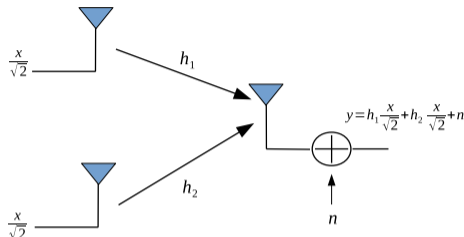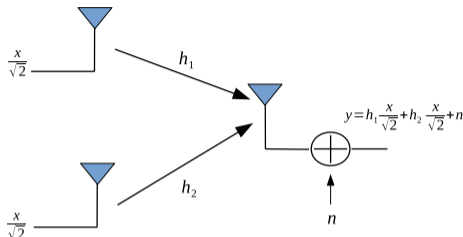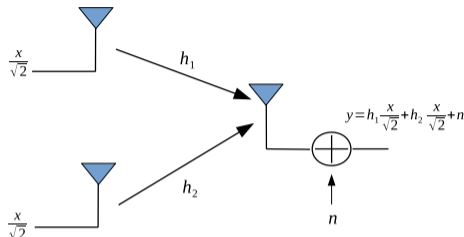- Assume h_1 and h_2 are not known at the transmitter. Transmit $\frac{x}{\sqrt{2}}$ from each antenna
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- For example, $h_1 = 1, h_2 = -.5$; SNR$= \frac{.25P}{2N_0} = \frac{.125P}{N_0}$
- 10-times reduction in SNR. Can we do better?

Multiple transmit and single receive antennas wireless channel (2)
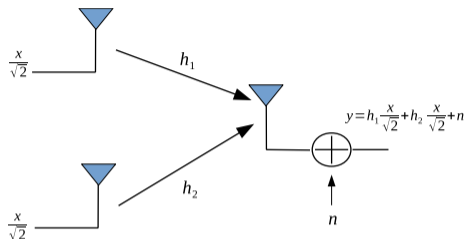
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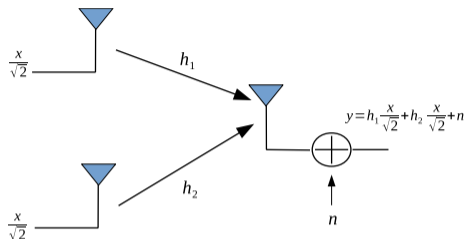
- Assume h_1 and h_2 are known at the transmitter – very important assumption

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- Transmit $\frac{h_1^* x}{\sqrt{c}} = \tilde{x}_1$ from first antenna

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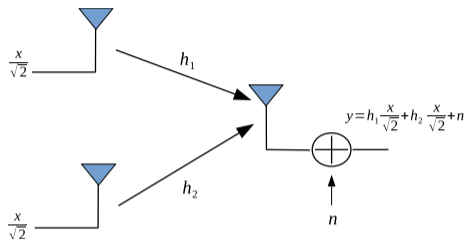
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Multiple transmit and single receive antennas wireless channel (2)

$$\frac{x}{\sqrt{2}} \quad h_1$$

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n

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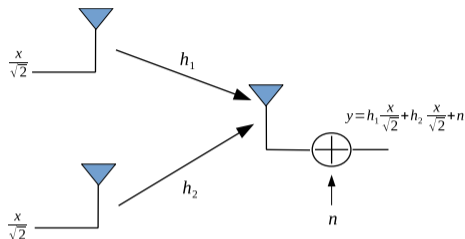 - Total transmit power is $\frac{|h_1|^2 |x|^2}{c}$

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Multiple transmit and single receive antennas wireless channel (2)

$\frac{x}{\sqrt{2}}$ — h_1

$\frac{x}{\sqrt{2}}$ — h_2

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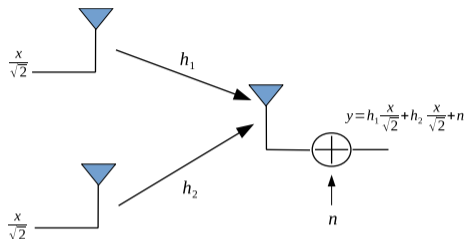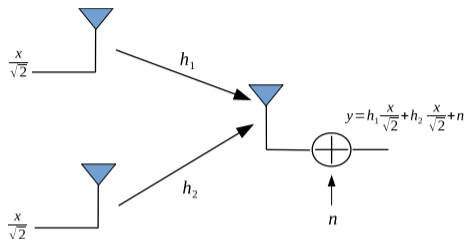- Assume h_1 and h_2 are known at the transmitter – very important assumption
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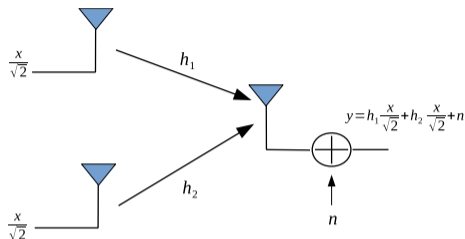

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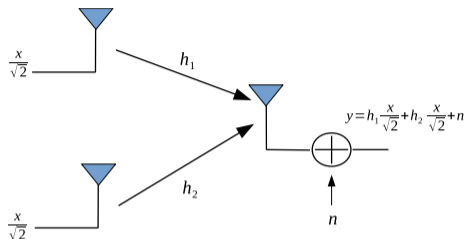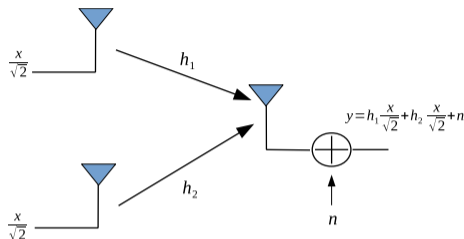# Multiple transmit and single receive antennas wireless channel (2)

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$$ y \;\; = \;\; h_1 \tilde{x}_1 $$

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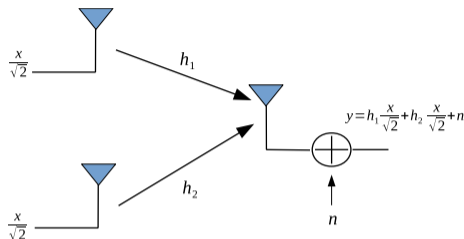

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$$ y \;\; = \;\; h_1 \tilde{x}_1 + h_2 \tilde{x}_2 + n $$

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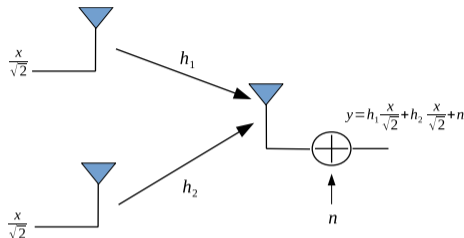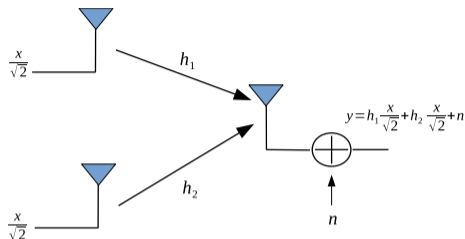$$y = h_1 \tilde{x}_1 + h_2 \tilde{x}_2 + n = \frac{|h_1|^2 + |h_2|^2}{\sqrt{c}} x + n$$

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$$y = h_1 \tilde{x}_1 + h_2 \tilde{x}_2 + n = \frac{|h_1|^2 + |h_2|^2}{\sqrt{c}} x + n = \sqrt{|h_1|^2 + |h_2|^2}\, x + n$$

Multiple transmit and single receive antennas wireless channel (2)

$$y = h_1 \frac{x}{\sqrt{2}} + h_2 \frac{x}{\sqrt{2}} + n$$

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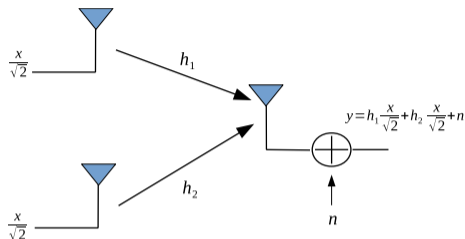
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$$y \;=\; h_1 \tilde{x}_1 + h_2 \tilde{x}_2 + n = \frac{|h_1|^2 + |h_2|^2}{\sqrt{c}} x + n = \sqrt{|h_1|^2 + |h_2|^2}\, x + n \quad \text{with SNR} = \frac{(|h_1|^2 + |h_2|^2)P}{N_0}$$

- Same SNR (capacity) as that of the SIMO channel
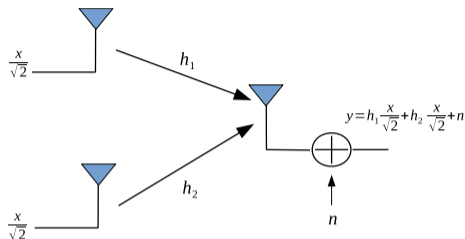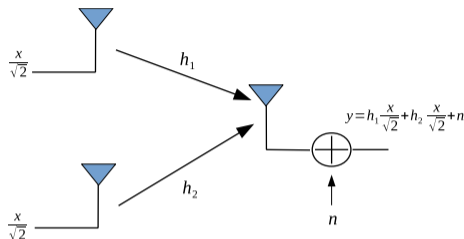
Multiple transmit and single receive antennas wireless channel (2)

- Assume h_1 and h_2 are known at the transmitter – very important assumption
- Transmit $\frac{h_1^* x}{\sqrt{c}} = \tilde{x}_1$ from first antenna and $\frac{h_2^* x}{\sqrt{c}} = \tilde{x}_2$ from second antenna (transmit beamforming)
  - Total transmit power is $\frac{|h_1|^2 |x|^2}{c} + \frac{|h_2|^2 |x|^2}{c} = \frac{(|h_1|^2 + |h_2|^2)P}{c}$
  - For transmit power to be equal to P, $c = |h_1|^2 + |h_2|^2$

$$y = h_1 \tilde{x}_1 + h_2 \tilde{x}_2 + n = \frac{|h_1|^2 + |h_2|^2}{\sqrt{c}} x + n = \sqrt{|h_1|^2 + |h_2|^2}\, x + n \quad \text{with SNR} = \frac{(|h_1|^2 + |h_2|^2)P}{N_0}$$

- Same SNR (capacity) as that of the SIMO channel – crucial that transmitter knows the channel
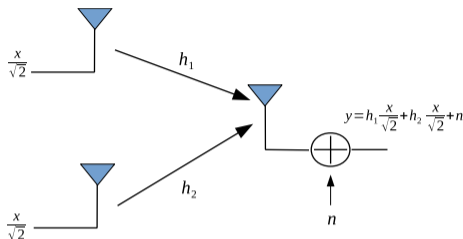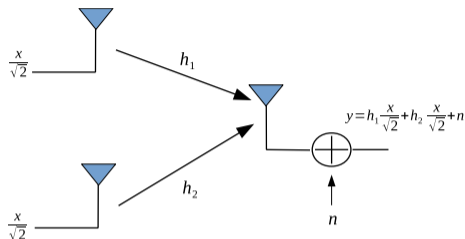
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- Same SNR (capacity) as that of the SIMO channel – crucial that transmitter knows the channel
- Transmission mode 9/6 depending on how channel is estimated/fed back

Multiple transmit and receive antennas wireless channel (1)
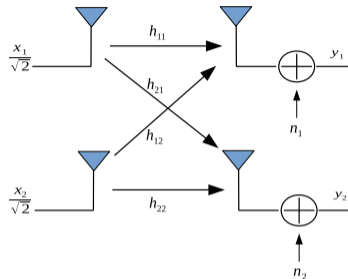
Multiple transmit and receive antennas wireless channel (1)
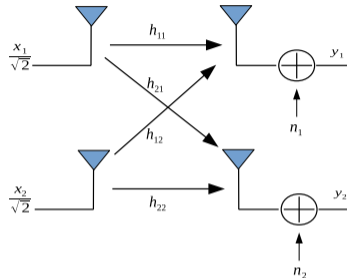
- Transmit $\frac{x_1}{\sqrt{2}}$ from first antenna and $\frac{x_2}{\sqrt{2}}$ from second antenna

Multiple transmit and receive antennas wireless channel (1)

- Transmit $\frac{x_1}{\sqrt{2}}$ from first antenna and $\frac{x_2}{\sqrt{2}}$ from second antenna
- Received signal is

$$y_1 \quad = \quad \frac{h_{11}x_1}{\sqrt{2}} +$$

Multiple transmit and receive antennas wireless channel (1)
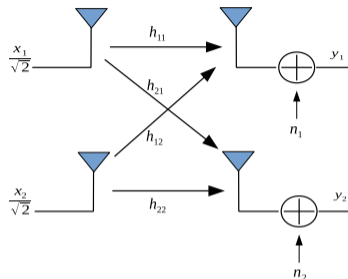
- Transmit $\frac{x_1}{\sqrt{2}}$ from first antenna and $\frac{x_2}{\sqrt{2}}$ from second antenna
- Received signal is

$$y_1 \;=\; \frac{h_{11}x_1}{\sqrt{2}} + \frac{h_{12}x_2}{\sqrt{2}} + n_1$$

Multiple transmit and receive antennas wireless channel (1)

- Transmit $\frac{x_1}{\sqrt{2}}$ from first antenna and $\frac{x_2}{\sqrt{2}}$ from second antenna
- Received signal is

$$
\begin{aligned}
y_1 &= \frac{h_{11}x_1}{\sqrt{2}} + \frac{h_{12}x_2}{\sqrt{2}} + n_1 \\
y_2 &= \frac{h_{21}x_1}{\sqrt{2}} +
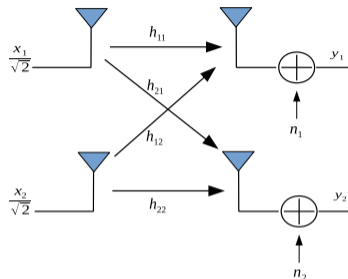\end{aligned}
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Multiple transmit and receive antennas wireless channel (2)

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- Two symbols are simultaneously transmitted from two antennas

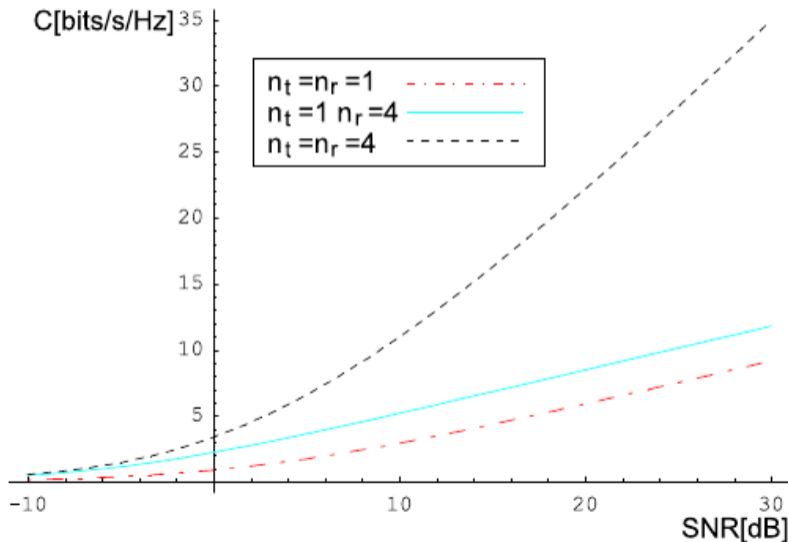## Multiple transmit and receive antennas wireless channel (2)

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\end{aligned}
$$

- Two symbols are simultaneously transmitted from two antennas
- Also known as transmission mode 3 – open loop spatial multiplexing

MIMO wireless systems capacity -comparison

Conclusions

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Conclusions

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Thank you