# Joint Precoder and Receiver Design for Asymmetric Two-way MIMO AF Relaying

Rohit Budhiraja

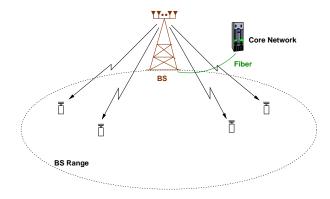
Advisor – Bhaskar Ramamurthi

Department of Electrical Engineering IIT Madras Chennai, India 600036

Email: ee11d021@ee.iitm.ac.in

Second Ph.D Seminar January 22, 2015

## Single-hop cellular architecture

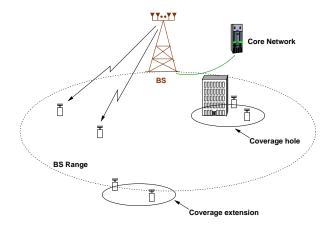


• Two links – wireless access link and wired backhaul link.

• Single-hop architecture works well if direct access links are strong.

ヘロト 人間ト 人目下 人目下

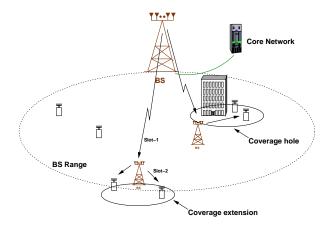
## Cellular scenarios with weak direct links



- Two examples: 1) Coverage extension; and 2) Coverage hole.
- Installing a BS for few users is costly due to core network & backhaul link.

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

## Cellular systems with relays



- Relay is a (simplified) BS without backhaul infrastructure.
  - ▶ Relay functionality can vary from a simple repeater to a full-blown BS.

イロン イ団と イヨン イヨン

## Half-duplex relaying

- Half-duplex constraint is imposed on the relay (easy to design).
  - ► Full-duplex technology is currently being practically evaluated.
- Relay cannot transmit and receive on same spectral resource at same time.
- Two widely studied half-duplex relaying protocols:
  - One-way relay: Needs four time slots to exchange two data units.
  - Spectrally inefficient when compared with direct communication.



# Two-way relaying<sup>1</sup>

- Consists of two phases.
- MAC phase: Two source nodes simultaneously transmit to the relay.



• Broadcast phase: Relay broadcasts a function of the sum-signal.



• Both nodes can cancel back-propagating interference as both know self-data.

• Two slots are required to exchange two data units – spectrally efficient.

<sup>1</sup>Rankov, B and Wittneben, A, "Spectral Efficient Protocols for Half-Duplex Fading Relay Channels", IEEE J. Sel. Areas Commun, vol. 25, no. 2, pp. 375–385, 2007.

Rohit Budhiraja (Second Ph.D Seminar)

## Basic assumption in two-way relaying

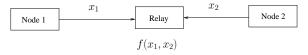


Figure: MAC phase of two-way relaying

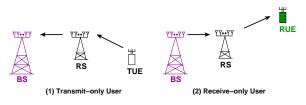
- Two nodes want to exchange data via a relay.
- Both nodes should have data to send and receive.

• Strong assumption!

イロト イポト イヨト イヨト

## Data exchange in cellular systems

• Usually does not happen!<sup>2</sup>



Non-simultaneous traffic scenarios

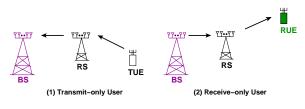
- E.g., TUE uploading a Youtube video / RUE watching a Netflix movie.
- Users either want to send or receive data.

Two way relaying cannot be used in these scenarios.

<sup>2</sup>Rohit Budhiraja, Karthik KS and Bhaskar Ramamurthi "Precoder design for Asymmetric Multi-user Two-way AF Relaying in Cellular Systems", ICC 2013, Hungary.

## Option for BS to serve TUE and RUE

• Use one way relaying.



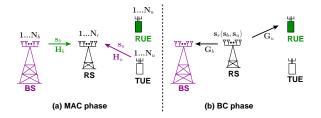
Non-simultaneous traffic scenarios

• BS will require 4 time slots – spectrally inefficient.

イロト イヨト イヨト イヨト

## Proposed asymmetric two-way relaying (ATWR)

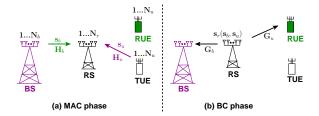
- MAC phase: Both BS and TUE simultaneously transmit to the relay.
- BC phase: Relay broadcasts to both BS and RUE.



BS requires two slots to serve two users.

(日) (周) (日) (日)

## Back-propagating interference in ATWR

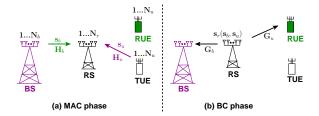


- Relay receive signal:  $\mathbf{y}_r = \mathbf{H}_u \mathbf{s}_u + \mathbf{H}_b \mathbf{s}_b + \mathbf{n}_r$ .
- Relay transmit signal:  $\mathbf{s}_r = f(\mathbf{y}_r) = f(\mathbf{s}_u, \mathbf{s}_b)$ .

• BS receive signal:  $\mathbf{y}_b = \mathbf{G}_b \cdot f(\mathbf{s}_u, \mathbf{s}_b)$ . BS can cancel  $\mathbf{s}_b$  and detect  $\mathbf{s}_u$ .

• RUE receive signal:  $\mathbf{y}_u = \mathbf{G}_u \cdot f(\mathbf{s}_u, \mathbf{s}_b)$ . RUE cannot cancel  $\mathbf{s}_u$ .

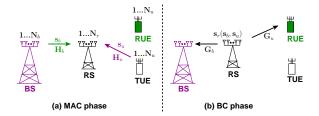
## Back-propagating interference in ATWR



- Relay receive signal:  $\mathbf{y}_r = \mathbf{H}_u \mathbf{s}_u + \mathbf{H}_b \mathbf{s}_b + \mathbf{n}_r$ .
- Relay transmit signal:  $\mathbf{s}_r = f(\mathbf{y}_r) = f(\mathbf{s}_u, \mathbf{s}_b)$ .
- BS receive signal:  $\mathbf{y}_b = \mathbf{G}_b \cdot f(\mathbf{s}_u, \mathbf{s}_b)$ . BS can cancel  $\mathbf{s}_b$  and detect  $\mathbf{s}_u$ .

• RUE receive signal:  $\mathbf{y}_u = \mathbf{G}_u \cdot f(\mathbf{s}_u, \mathbf{s}_b)$ . RUE cannot cancel  $\mathbf{s}_u$ .

## Back-propagating interference in ATWR



- Relay receive signal:  $\mathbf{y}_r = \mathbf{H}_u \mathbf{s}_u + \mathbf{H}_b \mathbf{s}_b + \mathbf{n}_r$ .
- Relay transmit signal:  $\mathbf{s}_r = f(\mathbf{y}_r) = f(\mathbf{s}_u, \mathbf{s}_b)$ .

• BS receive signal:  $\mathbf{y}_b = \mathbf{G}_b \cdot f(\mathbf{s}_u, \mathbf{s}_b)$ . BS can cancel  $\mathbf{s}_b$  and detect  $\mathbf{s}_u$ .

• RUE receive signal:  $\mathbf{y}_u = \mathbf{G}_u \cdot f(\mathbf{s}_u, \mathbf{s}_b)$ . RUE cannot cancel  $\mathbf{s}_u$ .

#### State-of-the-art in ATWR

- Cancel BI using overhearing assumes RUE overhears TUE in MAC phase.
  - Consider single antenna nodes.
  - Analysed sum-rate,<sup>3</sup> scheduling<sup>4</sup> and diversity multiplexing trade-off.<sup>5</sup>
- We do not assume overhearing and design a precoder at relay to cancel BI.
  - Consider MIMO nodes.
  - Designed relay precoder,<sup>6</sup> joint precoder<sup>7</sup> and considered multi-user scenario.<sup>8</sup>

<sup>3</sup>C. D. T. Thai et. al. Multi-Cell Multi-User Relaying Exploiting Overheard Signals, IEEE Wireless Commun. Lett., Aug. 2014.
 <sup>4</sup>Carvalho et. al., Multi-flow scheduling for coordinated direct and relayed users in cellular systems, IEEE Trans. Commun., Feb. 2013.
 <sup>5</sup>F. Sun et. al., Diversity-multiplexing trade-off for coordinated direct and relay schemes, IEEE Trans. Wireless Commun., 2013.

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

## State-of-the-art in ATWR

- Cancel BI using overhearing assumes RUE overhears TUE in MAC phase.
  - Consider single antenna nodes.
  - Analysed sum-rate,<sup>3</sup> scheduling<sup>4</sup> and diversity multiplexing trade-off.<sup>5</sup>
- We do not assume overhearing and design a precoder at relay to cancel BI.
  - Consider MIMO nodes.
  - Designed relay precoder,<sup>6</sup> joint precoder<sup>7</sup> and considered multi-user scenario.<sup>8</sup>

<sup>3</sup>C. D. T. Thai et. al. Multi-Cell Multi-User Relaying Exploiting Overheard Signals, IEEE Wireless Commun. Lett., Aug. 2014.
 <sup>4</sup>Carvalho et. al., Multi-flow scheduling for coordinated direct and relayed users in cellular systems, IEEE Trans. Commun., Feb. 2013.
 <sup>5</sup>F. Sun et. al., Diversity-multiplexing trade-off for coordinated direct and relay schemes, IEEE Trans. Wireless Commun., 2013.
 <sup>6</sup>Budhiraja, Karthik KS and Bhaskar Ramamurthi "Linear Precoders for Non-Regenerative Asymmetric Two-way Relaying in Cellular Systems", IEEE Trans. Wireless Commun., Sep. 2014.

<sup>7</sup>Rohit Budhiraja and Bhaskar Ramamurthi "Joint Precoder and Receiver Design for AF Non-Simultaneous Two-way MIMO Relaying", submitted after minor revision to IEEE Trans. Wireless Commun.

<sup>8</sup> Rohit Budhiraja and Bhaskar Ramamurthi "Multiuser Two-Way Non-Regenerative MIMO Relaying With Non-Concurrent Traffic", accepted in Trans. Vehicular Tech., 2014

#### Our work - two different designs

- Design a precoder at relay to cancel back-propagating interference.<sup>9</sup>
  - Assume relay alone has global channel knowledge.
  - Both BS and RUE use SIC to detect data; SIC can lead to errors.
  - Discussed in the first seminar.
- Joint precoder and receiver design.<sup>10</sup>
  - Assume all nodes have global channel knowledge.
  - Diagonalizes MIMO channels. SIC is not required.
  - Realizes beamforming gain over relay precoder.
  - Consider multi-user extension.

<sup>9</sup> Rohit Budhiraja, Karthik KS and Bhaskar Ramamurthi "Linear Precoders for Non-Regenerative Asymmetric Two-way Relaying in Cellular Systems", IEEE Trans. Wireless Commun., vol. 13, pp. 5002-5014, Sep. 2014

イロト イポト イヨト イヨト

#### Our work - two different designs

- Design a precoder at relay to cancel back-propagating interference.<sup>9</sup>
  - Assume relay alone has global channel knowledge.
  - Both BS and RUE use SIC to detect data; SIC can lead to errors.
  - Discussed in the first seminar.
- Joint precoder and receiver design.<sup>10</sup>
  - Assume all nodes have global channel knowledge.
  - Diagonalizes MIMO channels. SIC is not required.
  - Realizes beamforming gain over relay precoder.
  - Consider multi-user extension.

<sup>&</sup>lt;sup>9</sup> Rohit Budhiraja, Karthik KS and Bhaskar Ramamurthi "Linear Precoders for Non-Regenerative Asymmetric Two-way Relaying in Cellular Systems", IEEE Trans. Wireless Commun., vol. 13, pp. 5002-5014, Sep. 2014

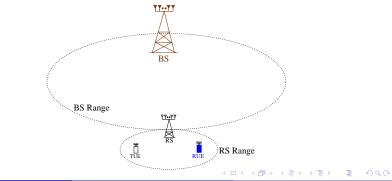
<sup>10</sup> Rohit Budhiraja and Bhaskar Ramamurthi "Joint Precoder and Receiver Design for AF Non-Simultaneous Two-way MIMO Relaying", submitted after minor revision to IEEE Trans. Wireless Commun.

## Classification of relays

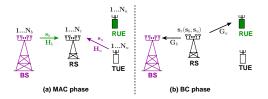
- Classified based on relay receive processing.
- Amplify-forward: Relay Tx signal:  $\mathbf{x}_r = \mathbf{W}\mathbf{y}_r = \mathbf{W}\mathbf{H}\mathbf{x} + \mathbf{W}\mathbf{n}_r$ .
- Amplify-forward relay amplifies noise but introduces minimal latency.
- Decode-forward: Relay decodes data. Relay Tx signal:  $\mathbf{x}_r = \mathbf{P}\mathbf{x}_d$ .
- Decode-forward relay removes noise but introduces considerable latency.

# System model (1)

- We consider an amplify and forward relay.
- No direct links between the BS and two users.
- Users observe this channel in coverage-extension/coverage-hole scenarios.



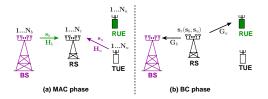
# System model (2)



- TUE and BS transmit precoded signals:  $s_u = B_u x_u$  and  $s_b = B_b x_b$ .
- Relay signals:
  - MAC phase received signal:  $\mathbf{y}_r = \mathbf{H}_u \mathbf{s}_u + \mathbf{H}_b \mathbf{s}_b + \mathbf{n}_r$ .
  - BC phase transmit signal: s<sub>r</sub> = Wy<sub>r</sub>.
- BC phase receive signal:
  - $\mathbf{P} \text{ RUE: } \mathbf{y}_u = \mathbf{G}_u \mathbf{s}_r + \mathbf{n}_u = \mathbf{G}_u \mathbf{W} \mathbf{H}_u \mathbf{s}_u + \mathbf{G}_u \mathbf{W} \mathbf{H}_b \mathbf{s}_b + \widetilde{\mathbf{n}}_u.$
  - ► BS:  $\mathbf{y}_b = \mathbf{G}_b \mathbf{s}_r + \mathbf{n}_b = \mathbf{G}_b \mathbf{W} \mathbf{H}_u \mathbf{s}_u + \mathbf{G}_b \mathbf{W} \mathbf{H}_b \mathbf{s}_b + \widetilde{\mathbf{n}}_b$ .

・ロト ・聞ト ・ヨト ・ヨト

# System model (2)



- TUE and BS transmit precoded signals:  $s_u = B_u x_u$  and  $s_b = B_b x_b$ .
- Relay signals:
  - MAC phase received signal:  $\mathbf{y}_r = \mathbf{H}_u \mathbf{s}_u + \mathbf{H}_b \mathbf{s}_b + \mathbf{n}_r$ .
  - BC phase transmit signal: s<sub>r</sub> = Wy<sub>r</sub>.
- BC phase receive signal:
  - $\mathbf{F} \text{ RUE: } \mathbf{y}_u = \mathbf{G}_u \mathbf{s}_r + \mathbf{n}_u = \mathbf{G}_u \mathbf{W} \mathbf{H}_u \mathbf{s}_u + \mathbf{G}_u \mathbf{W} \mathbf{H}_b \mathbf{s}_b + \widetilde{\mathbf{n}}_u.$
  - ► BS:  $\mathbf{y}_b = \mathbf{G}_b \mathbf{s}_r + \mathbf{n}_b = \mathbf{G}_b \mathbf{W} \mathbf{H}_u \mathbf{s}_u + \mathbf{G}_b \mathbf{W} \mathbf{H}_b \mathbf{s}_b + \widetilde{\mathbf{n}}_b$ .

ヘロト 人間ト 人目下 人目下

# Relay precoder design to cancel back-propagating interference

• Precoder **W** is decomposed as **W** = **MDF**.

- $M = [M_u \ M_b]$  and  $F = [F_u; \ F_b]$  are designed to cancel RUE's BI.
- $\mathbf{D} = \text{anti-diag}(\mathbf{D}_u, \mathbf{D}_b)$  is used to diagonalize MIMO channels.
- Signal received by RUE and BS after substituting **W** = **MDF**:

$$\mathbf{y} = [\mathbf{y}_u; \mathbf{y}_b] = \mathbf{GWHs} + \widetilde{\mathbf{n}} = \underbrace{\mathbf{GM}}_{\widetilde{\mathbf{G}}} \mathbf{D} \underbrace{\mathbf{FH}}_{\widetilde{\mathbf{H}}} \mathbf{s} + \widetilde{\mathbf{n}} = \widetilde{\mathbf{G}} \mathbf{D} \widetilde{\mathbf{Hs}} + \widetilde{\mathbf{n}}$$

• Block matrices: 
$$\widetilde{\mathbf{G}} = \begin{bmatrix} \widetilde{\mathbf{G}}_u & \widetilde{\mathbf{G}}_0\\ \widetilde{\mathbf{G}}_n & \widetilde{\mathbf{G}}_b \end{bmatrix}$$
 and  $\widetilde{\mathbf{H}} = \begin{bmatrix} \widetilde{\mathbf{H}}_b & \widetilde{\mathbf{H}}_n\\ \widetilde{\mathbf{H}}_0 & \widetilde{\mathbf{H}}_u \end{bmatrix}$ 

#### Design criterion for precoders ${\bf M}$ and ${\bf F}$

Lemma: To cancel RUE's BI alone, design **M** and **F** such that  $\widetilde{G}$  and  $\widetilde{H}$  are block lower- and upper-triangular matrices, respectively.

Proof: Recall  $\mathbf{y} = [\mathbf{y}_u; \mathbf{y}_b] = \widetilde{\mathbf{G}} \mathbf{D} \widetilde{\mathbf{H}} \mathbf{s} + \widetilde{\mathbf{n}}$ . With lower/upper-triangular  $\widetilde{\mathbf{G}} / \widetilde{\mathbf{H}}$ ,

$$\begin{bmatrix} \mathbf{y}_u \\ \mathbf{y}_b \end{bmatrix} = \begin{bmatrix} (\widetilde{\mathbf{G}}_u \mathbf{D}_u \widetilde{\mathbf{H}}_u) \mathbf{s}_b \\ (\widetilde{\mathbf{G}}_b \mathbf{D}_b \widetilde{\mathbf{H}}_b) \mathbf{s}_u + (\widetilde{\mathbf{G}}_n \mathbf{D}_u \widetilde{\mathbf{H}}_u + \widetilde{\mathbf{G}}_b \mathbf{D}_b \widetilde{\mathbf{H}}_n) \mathbf{s}_b \end{bmatrix} + \widetilde{\mathbf{n}}.$$
 (1)

• RUE receive signal:  $\mathbf{y}_u = (\widetilde{\mathbf{G}}_u \mathbf{D}_u \widetilde{\mathbf{H}}_u) \mathbf{s}_b + \widetilde{\mathbf{n}}_u$ .

• BS received signal (after BI cancellation):  $\mathbf{y}_b = (\widetilde{\mathbf{G}}_b \mathbf{D}_b \widetilde{\mathbf{H}}_b) \mathbf{s}_u + \widetilde{\mathbf{n}}_b$ .

• Precoders M and F are designed based on null-space projection.

Designed in first seminar

イロト イポト イヨト イヨト 三日

#### Design criterion for precoders ${\bf M}$ and ${\bf F}$

Lemma: To cancel RUE's BI alone, design **M** and **F** such that  $\widetilde{G}$  and  $\widetilde{H}$  are block lower- and upper-triangular matrices, respectively.

Proof: Recall  $\mathbf{y} = [\mathbf{y}_u; \mathbf{y}_b] = \widetilde{\mathbf{G}} \mathbf{D} \widetilde{\mathbf{H}} \mathbf{s} + \widetilde{\mathbf{n}}$ . With lower/upper-triangular  $\widetilde{\mathbf{G}} / \widetilde{\mathbf{H}}$ ,

$$\begin{bmatrix} \mathbf{y}_u \\ \mathbf{y}_b \end{bmatrix} = \begin{bmatrix} (\widetilde{\mathbf{G}}_u \mathbf{D}_u \widetilde{\mathbf{H}}_u) \mathbf{s}_b \\ (\widetilde{\mathbf{G}}_b \mathbf{D}_b \widetilde{\mathbf{H}}_b) \mathbf{s}_u + (\widetilde{\mathbf{G}}_n \mathbf{D}_u \widetilde{\mathbf{H}}_u + \widetilde{\mathbf{G}}_b \mathbf{D}_b \widetilde{\mathbf{H}}_n) \mathbf{s}_b \end{bmatrix} + \widetilde{\mathbf{n}}.$$
 (1)

- RUE receive signal:  $\mathbf{y}_u = (\widetilde{\mathbf{G}}_u \mathbf{D}_u \widetilde{\mathbf{H}}_u) \mathbf{s}_b + \widetilde{\mathbf{n}}_u$ .
- BS received signal (after BI cancellation):  $\mathbf{y}_b = (\widetilde{\mathbf{G}}_b \mathbf{D}_b \widetilde{\mathbf{H}}_b) \mathbf{s}_u + \widetilde{\mathbf{n}}_b$ .
- Precoders M and F are designed based on null-space projection.
  - Designed in first seminar.

#### Objectives achieved with previous lemma

- Cancelled RUE's BI alone.
- Decoupled a two-way relay channel into two non-interfering one-way relay channels:
  - ▶ Downlink (BS→RS→RUE):



► Uplink (TUE→RS→BS):



イロン イヨン イヨン イヨン

## Downlink joint transceiver design



• Design  $\mathbf{B}_b$ ,  $\mathbf{D}_u$  and  $\mathbf{T}_u$  to diagonalize BS $\rightarrow$ RS $\rightarrow$ RUE MIMO channel.

Recall, we assume all nodes have global CSI.

• Perform SVD of  $\widetilde{H}_u = U_h \Sigma_h V_h^H$  and  $\widetilde{G}_u = U_g \Sigma_g V_g^H$  and choose:

 $\bullet \ \mathbf{B}_b = \mathbf{U}_{\mathbf{h}}^H, \quad \mathbf{D}_u = \mathbf{V}_{\mathbf{h}} \mathbf{\Delta}_u \mathbf{U}_{\mathbf{g}}^H, \quad \mathbf{T}_u = \mathbf{V}_{\mathbf{g}}.$ 

• Diagonalized MIMO channel:  $\tilde{\mathbf{y}}_u = \mathbf{\Sigma}_{\mathbf{g}} \mathbf{\Delta}_u \mathbf{\Sigma}_{\mathbf{h}} \mathbf{x}_b + \mathbf{T}_u \tilde{\mathbf{n}}_u$ .

• Open problem – structure is optimal for one-way relay. Optimal for ATWR?

## Downlink joint transceiver design



• Design  $\mathbf{B}_b$ ,  $\mathbf{D}_u$  and  $\mathbf{T}_u$  to diagonalize BS $\rightarrow$ RS $\rightarrow$ RUE MIMO channel.

- Recall, we assume all nodes have global CSI.
- Perform SVD of  $\widetilde{H}_u = U_h \Sigma_h V_h^H$  and  $\widetilde{G}_u = U_g \Sigma_g V_g^H$  and choose:
  - $\bullet \ \mathbf{B}_b = \mathbf{U}_{\mathbf{h}}^H. \quad \mathbf{D}_u = \mathbf{V}_{\mathbf{h}} \mathbf{\Delta}_u \mathbf{U}_{\mathbf{g}}^H. \quad \mathbf{T}_u = \mathbf{V}_{\mathbf{g}}.$

• Diagonalized MIMO channel:  $\tilde{\mathbf{y}}_u = \mathbf{\Sigma}_{\mathbf{g}} \mathbf{\Delta}_u \mathbf{\Sigma}_{\mathbf{h}} \mathbf{x}_b + \mathbf{T}_u \tilde{\mathbf{n}}_u$ .

• Open problem – structure is optimal for one-way relay. Optimal for ATWR?

## Downlink joint transceiver design



• Design  $\mathbf{B}_b$ ,  $\mathbf{D}_u$  and  $\mathbf{T}_u$  to diagonalize BS $\rightarrow$ RS $\rightarrow$ RUE MIMO channel.

- Recall, we assume all nodes have global CSI.
- Perform SVD of  $\widetilde{H}_u = U_h \Sigma_h V_h^H$  and  $\widetilde{G}_u = U_g \Sigma_g V_g^H$  and choose:

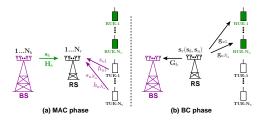
 $\bullet \ \mathbf{B}_b = \mathbf{U}_{\mathbf{h}}^H. \quad \mathbf{D}_u = \mathbf{V}_{\mathbf{h}} \mathbf{\Delta}_u \mathbf{U}_{\mathbf{g}}^H. \quad \mathbf{T}_u = \mathbf{V}_{\mathbf{g}}.$ 

• Diagonalized MIMO channel:  $\tilde{\mathbf{y}}_u = \mathbf{\Sigma}_{\mathbf{g}} \mathbf{\Delta}_u \mathbf{\Sigma}_{\mathbf{h}} \mathbf{x}_b + \mathbf{T}_u \tilde{\mathbf{n}}_u$ .

• Open problem - structure is optimal for one-way relay. Optimal for ATWR?

▲ロト ▲圖ト ▲画ト ▲画ト 三直 - のへで

#### Multi-user asymmetric two-way relaying

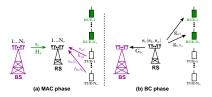


- RUE-*n* experiences
  - **Back-propagating interference** from the MAC-phase transmission of TUEs.
  - Co-channel interference from data transmitted by BS to other  $N_u 1$  RUEs.

• RUEs receive interference-free signal by slightly modifying earlier design.

(日) (同) (三) (三)

#### Multi-user asymmetric two-way relaying



• Relay signals:

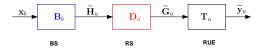
- MAC phase:  $\mathbf{y}_r = \mathbf{H}_b \mathbf{s}_b + \sum_{i=1}^{N_u} \mathbf{h}_{ui} \mathbf{s}_{ui} + \mathbf{n}_r$ ; and BC phase:  $\mathbf{s}_r = \mathbf{W} \mathbf{y}_r$
- RUE-*n* receive signal:

$$\mathbf{y}_{un} = \mathbf{g}_{un}^T \mathbf{s}_r = \mathbf{g}_{un}^T \mathbf{W} (\mathbf{h}_{bi} s_{bi} + \sum_{i \neq n} \underbrace{\mathbf{h}_{bi} s_{bi}}_{\text{CCI}} + \sum_{i=1}^{N_u} \underbrace{\mathbf{h}_{ui} s_{ui}}_{\text{BI}} + \tilde{n}_{un}).$$

• Relay precoder **W** is designed to cancel BI.

## Decoupling of channels in single-user scenario

- Relay precoder decouples two-way channel in two one-way relay channels.
  - Downlink one-way relay channel:



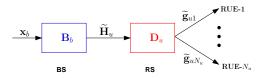
Uplink one-way relay channel:



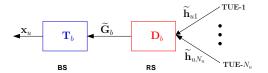
イロト イポト イヨト イヨト

## Decoupling of channels in multi-user scenario

- Relay precoder decouples two-way channel in two one-way relay channels.
  - Relay broadcast channel in downlink:



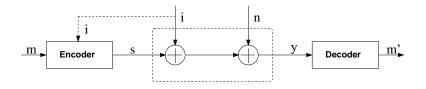
Relay MAC channel in uplink:



イロト イポト イヨト イヨト

## Dirty-paper-coding for point-to-point scalar channels

- Consider the following scalar interference channel y = s + i + n
  - *i* and *n* are independent Gaussian random variables.

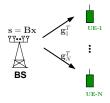


#### Theorem (Dirty Paper Coding)

If interference *i* is known non-casually at the transmitter then the capacity of the Gaussian channel is the same as if *i* were not present.

## DPC for vector broadcast channels (ZF-DPC)

• Consider the vector broadcast channel:



• Signal received by UE-n:

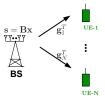
• 
$$y_n = \mathbf{g}_n^T \mathbf{B} \mathbf{x} + n_n = \mathbf{g}_n^T \mathbf{b}_n x_n + \sum_{j \neq n} \underbrace{\mathbf{g}_n^T \mathbf{b}_j x_j}_{\text{CCI}} + n_n$$

• Stacked signals of UE-n,  $\forall n$ :  $\mathbf{y} = \mathbf{GBx} + \mathbf{n}$ .

ヘロト 人間 ト くほ ト くほ トー

## DPC for vector broadcast channels (ZF-DPC)<sup>11</sup>

• Consider the vector broadcast channel:



- In ZF-DPC, **G** is decomposed as  $\mathbf{G} = \mathbf{L}\mathbf{Q}$ . And precoder  $\mathbf{B} = \mathbf{Q}^{H}$ .
- Equivalently  $\mathbf{y} = \mathbf{GBx} + \mathbf{n} = \mathbf{Lx} + \mathbf{n}$ :

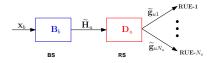
$$\begin{bmatrix} y_1\\y_2\\y_3\\y_4 \end{bmatrix} = \begin{bmatrix} \times & 0 & 0 & 0\\ \times & \times & 0 & 0\\ \times & \times & \times & 0\\ \times & \times & \times & \times \end{bmatrix} \begin{bmatrix} x_1\\x_2\\x_3\\x_4 \end{bmatrix} + \mathbf{n}.$$
 (2)

<sup>11</sup>G. Caire and S. Shamai, On the achievable throughput of a multiantenna Gaussian broadcast channel, IEEE Trans. Inf. Theory, Jul. 2003.

Rohit Budhiraja (Second Ph.D Seminar)

#### Downlink transceiver design

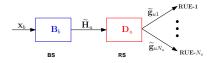
• After BI cancellation, downlink is one-way relay broadcast channel:



- Signals received by RUE-*n*,  $\forall n$ :  $\mathbf{y}_u = \widetilde{\mathbf{G}}_u \mathbf{D}_u \widetilde{\mathbf{H}}_u \mathbf{B}_b \mathbf{x}_b + \widetilde{\mathbf{n}}_u$ .
- Design BS and RS precoders such that BS employs DPC to cancel CCI.
  - ► Perform SVD of  $\widetilde{H}_u = U_h \Sigma_h V_h^H$  and LQ dec. of  $\widetilde{G}_u = L_g Q_g$ .
  - Choose  $\mathbf{B}_b = \mathbf{U}_{\mathbf{h}}^H$  and  $\mathbf{D}_u = \mathbf{Q}_{\mathbf{g}}^H \mathbf{\Delta}_u \mathbf{V}_{\mathbf{h}}$ .
- Lower-triangular MIMO channel:  $\mathbf{y}_u = \mathbf{L}_{\mathbf{g}} \mathbf{\Delta}_u \mathbf{\Sigma}_{\mathbf{h}} \mathbf{x}_b + \tilde{\mathbf{n}}_u$ .

#### Downlink transceiver design

• After BI cancellation, downlink is one-way relay broadcast channel:

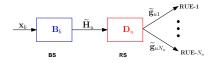


- Signals received by RUE-*n*,  $\forall n$ :  $\mathbf{y}_u = \widetilde{\mathbf{G}}_u \mathbf{D}_u \widetilde{\mathbf{H}}_u \mathbf{B}_b \mathbf{x}_b + \widetilde{\mathbf{n}}_u$ .
- Design BS and RS precoders such that BS employs DPC to cancel CCI.
  - ► Perform SVD of  $\widetilde{H}_{u} = U_{h} \Sigma_{h} V_{h}^{H}$  and LQ dec. of  $\widetilde{G}_{u} = L_{g} Q_{g}$ .
  - Choose  $\mathbf{B}_b = \mathbf{U}_{\mathbf{h}}^H$  and  $\mathbf{D}_u = \mathbf{Q}_{\mathbf{g}}^H \mathbf{\Delta}_u \mathbf{V}_{\mathbf{h}}$ .
- Lower-triangular MIMO channel:  $\mathbf{y}_u = \mathbf{L}_{\mathbf{g}} \mathbf{\Delta}_u \mathbf{\Sigma}_{\mathbf{h}} \mathbf{x}_b + \tilde{\mathbf{n}}_u$ .

イロト 不得下 イヨト イヨト 二日

## Downlink transceiver design

• After BI cancellation, downlink is one-way relay broadcast channel:



- Signals received by RUE-*n*,  $\forall n$ :  $\mathbf{y}_u = \widetilde{\mathbf{G}}_u \mathbf{D}_u \widetilde{\mathbf{H}}_u \mathbf{B}_b \mathbf{x}_b + \widetilde{\mathbf{n}}_u$ .
- Design BS and RS precoders such that BS employs DPC to cancel CCI.
  - ► Perform SVD of  $\widetilde{H}_{u} = U_{h} \Sigma_{h} V_{h}^{H}$  and LQ dec. of  $\widetilde{G}_{u} = L_{g} Q_{g}$ .
  - Choose  $\mathbf{B}_b = \mathbf{U}_{\mathbf{h}}^H$  and  $\mathbf{D}_u = \mathbf{Q}_{\mathbf{g}}^H \mathbf{\Delta}_u \mathbf{V}_{\mathbf{h}}$ .
- Lower-triangular MIMO channel:  $\mathbf{y}_u = \mathbf{L}_{\mathbf{g}} \mathbf{\Delta}_u \mathbf{\Sigma}_{\mathbf{h}} \mathbf{x}_b + \tilde{\mathbf{n}}_u$ .

イロト 不得下 イヨト イヨト 二日

## SNR experienced by BS and RUE

• SNR observed by the *m*th stream received by the RUE and BS:

$$SNR_i^m = \frac{a_i^m \delta_{i,m}}{\sigma_r^2 (\sum_{k=1}^M b_{i,k}^m \delta_{u,k} + c_{i,k}^m \delta_{b,k}) + \sigma^2}.$$

• Here 
$$\{a_i^m, b_{i,k}^m, c_{i,k}^m\} \ge 0.$$

• Note that coefficients of power allocation variables  $\delta_{u,k}$  and  $\delta_{b,k}$  are positive.

• Fact will be used to prove the convexity of sum-rate optimization program.

• 
$$R_{\text{sum}}(\delta) = \frac{1}{2} \sum_{m=1}^{M} \log (1 + \text{SNR}_{u}^{m}(\delta)) + \log (1 + \text{SNR}_{b}^{m}(\delta))$$

・ロト ・聞ト ・ヨト ・ヨト

#### Sum-rate maximization

- Will cast sum-rate max. as a geometric program; we explain its terminology.
- A monomial is a function  $f : \mathbf{R}_{++}^n :\to \mathbf{R}$  of the form

$$f(\mathbf{x}) = c x_1^{a_1} x_2^{a_2} \cdots x_n^{a_n}$$
, where  $c > 0$  and  $a_j \in \mathbf{R}$ .

• A positive sum of monomials is called a posynomial:

$$f(\mathbf{x}) = \sum_{k=1}^{K} c_k x_1^{a_{1k}} x_2^{a_{2k}} \cdots x_n^{a_{nk}}, \text{ where } c_k > 0$$

• Posynomials are closed under addition & multiplication, not under division.

• In a GP, the objective function and inequality constraints are posynomials.

イロト イポト イヨト イヨト 三日

Sum-rate maximization subject to relay power constraint

• Optimization problem can be cast as:

$$\begin{array}{ll} \underset{\delta:\delta\succeq0}{\text{Max.}} & R_{\mathsf{sum}}(\delta) \\ \text{s.t.} & P(\delta) \leq P_r \end{array} \tag{3}$$

- To cast (3) as a GP, both  $R_{sum}(\delta)$  and  $p_r(\delta)$  must be posynomials.
- Relay power  $p_r(\delta)$  is a posynomial.<sup>12</sup> We show that  $R_{sum}$  is not a posynomial.

$$egin{aligned} &R_{\mathsf{sum}}(oldsymbol{\delta}) = rac{1}{2} \sum_{m=1}^M \log\left(1 + \mathsf{SNR}^m_u(oldsymbol{\delta})
ight) + \log\left(1 + \mathsf{SNR}^m_b(oldsymbol{\delta})
ight) \ &= rac{1}{2} \log\left[\prod_m (1 + \mathsf{SNR}^m_u(oldsymbol{\delta}))(1 + \mathsf{SNR}^m_b(oldsymbol{\delta}))
ight]. \end{aligned}$$

<sup>12</sup>Rohit Budhiraja, Karthik KS and Bhaskar Ramamurthi "Linear Precoders for Non-Regenerative Asymmetric Two-way Relaying in Cellular Systems", IEEE Trans. Wireless Commun., vol. 13, pp. 50025014, Sep. 2014

#### Sum-rate maximization

• Recall SNR observed by the *m*th stream received by the RUE and BS:

$$\mathsf{SNR}_i^m = \frac{a_i^m \delta_{i,m}}{\sigma_r^2 (\sum_{k=1}^M b_{i,k}^m \delta_{u,k} + c_{i,k}^m \delta_{b,k}) + \sigma^2}$$

• Here 
$$\{a_i^m, b_{i,k}^m, c_{i,k}^m\} \ge 0$$
. Equivalently  $SNR_i^m = \frac{\text{monomial}}{\text{posynomial}}$ .

• Sum-rate: 
$$R_{sum}(\delta) = \frac{1}{2} \log \prod (1 + SNR_u^m(\delta))(1 + SNR_b^m(\delta)).$$

- R<sub>sum</sub> is a ratio of two posynomials not a posynomial.
  - Sum-rate maximization is not a GP.

・ロト ・ 理 ト ・ ヨ ト ・ ヨ ト

#### Sum-rate maximization

• Use high SNR approximation:  $\log(1 + SNR) \simeq \log(SNR)$ .

Therefore

• 
$$R_{sum} \simeq \frac{1}{2} \log \left[ \prod_m SNR_u^m(\delta) \ SNR_b^m(\delta) \right] = -\frac{1}{2} \log \left[ \prod_m ISNR_u^m(\delta) \ ISNR_b^m(\delta) \right].$$

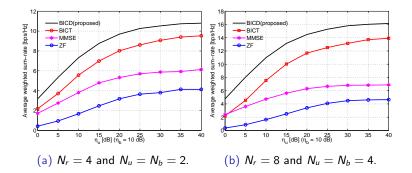
• Here  $ISNR_u^m = 1/SNR_u^m$ . Note that  $ISNR = \frac{posynomial}{monomial}$  is a posynomial.

• Sum-rate maximization can now be cast as a GP:

$$\begin{array}{ll} \underset{\delta \succeq 0}{\text{Min.}} & \prod_{m} \text{ISNR}_{u}^{m}(\delta) \; \text{ISNR}_{b}^{m}(\delta) \\ \text{s.t.} & p_{r}(\delta) \leq P_{r}. \end{array}$$

イロト イポト イヨト イヨト 三日

#### Sum-rate comparison of different precoders



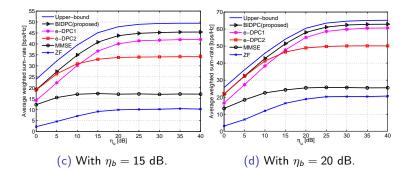
Precoders – BICD,<sup>13</sup> BICT<sup>14</sup> and ZF/MMSE.<sup>15</sup>

13 Budhiraja et. al. "Joint Precoder/Receiver Design for AF Non-Simultaneous Two-way MIMO Relaying", Trans. Wireless Commun. 14

14 Budhiraja et. al. "Linear Precoders for Non-Regenerative Asymmetric Two-way Relaying in Cellular Systems", Trans. Wireless Commun., Sep. 2014

15 Unger et. al. "Duplex schemes in multiple antenna two-hop relaying", EURASIP J. Adv. Signal Process; Kol. 2008, pp. 114, 2008. 🚊 🔗 🛇

#### Sum-rate comparison for multi-user ATWR



Precoders – BIDPC,<sup>16</sup> e-DPC1,<sup>17</sup> e-DPC2,<sup>18</sup> and ZF/MMSE.<sup>19</sup>

<sup>16</sup>Budhiraja et. al. "Joint Precoder/Receiver Design for AF Non-Simultaneous Two-way MIMO Relaying", Trans. Wireless Commun.
 <sup>17</sup>Budhiraja et. al. "Multiuser Two-Way MIMO Relaying With Non-Concurrent Traffic", Trans. Vehicular Tech., 2014
 <sup>18</sup>Zhang et. al., "Beamforming design for multi-user two-way relaying with MIMO amplify and forward relays", ICASSP, 2011.
 <sup>19</sup>Joung et. al., "User selection methods for multiuser two-way relay communications using space division multiple access", IEEE Trans. Wireless Commun., Jul. 2010.

35 / 36

## Conclusions

- Considered problem of asymmetric data-flow in two-way relaying.
- Designed a novel precoder to selectively cancel back-propagating interference.
- Maximized sum-rate using geometric programming.
- Proposed precoder outperforms conventional precoders.