

# A short introduction to the algebraization of logical systems and Gentzen calculi

## Lecture 2: The algebraization of Intuitionistic Logic

Ramon Jansana

Department of Logic, History and Philosophy of Science  
University of Barcelona

January 2008, Second Indian Winter School on Logic, IIT Kanpur

# Outline

- The logical system of intuitionistic logic:  $\mathcal{IPL}$
- The algebraization of  $\mathcal{IPL}$ . Heyting algebras. Lindenbaum-Tarski method
- $\mathcal{IPL}$  as an algebraizable logical system
- The concept of algebraizable logical system
- Filters and congruences in Heyting algebras: logical meaning
- The class of algebras of a logical system
- Algebraizable logical systems II
- The preorder given by the logical filters
- The algebraization of the Gentzen calculus **LJ**
- The concept of algebraizable Gentzen calculus

# The logical system of Intuitionistic Logic

The internal logical system  $\langle L, \vdash_{\mathbf{LJ}}^{\text{in}} \rangle$  of  $\mathbf{LJ}$  is the system  $\mathcal{IPL}$  that we introduced throughout a Hilbert style calculus, that is  $\vdash_{\mathbf{LJ}}^{\text{in}} = \vdash_{\mathcal{IPL}}$ .

Some properties of  $\vdash_{\mathcal{IPL}}$ :

**Theorem**[Deduction theorem]

For every set of formulas  $\Gamma$  and formulas  $\varphi$ ,  $\psi$ , and  $\delta$ :

$$\text{if } \Gamma, \varphi \vdash_{\mathcal{IPL}} \psi, \quad \text{then } \Gamma \vdash_{\mathcal{IPL}} (\varphi \rightarrow \psi).$$

**Lemma**

For any formulas  $\varphi$ ,  $\psi$ ,  $\delta$ ,  $\varphi_1, \varphi_2, \psi_1, \psi_2$  the following formulas are theorems of  $\mathcal{IPL}$ :

- ①  $\vdash_{\mathcal{IPL}} \varphi \rightarrow \varphi$
- ②  $\{\varphi \rightarrow \psi, \psi \rightarrow \delta\} \vdash_{\mathcal{IPL}} \varphi \rightarrow \delta$
- ③  $\{\varphi_1 \rightarrow \varphi_2, \psi_1 \rightarrow \psi_2\} \vdash_{\mathcal{IPL}} (\varphi_1 \wedge \psi_1) \rightarrow (\varphi_2 \wedge \psi_2),$
- ④  $\{\varphi_1 \rightarrow \varphi_2, \psi_1 \rightarrow \psi_2\} \vdash_{\mathcal{IPL}} (\varphi_1 \vee \psi_1) \rightarrow (\varphi_2 \vee \psi_2),$
- ⑤  $\{\varphi_2 \rightarrow \varphi_1, \psi_1 \rightarrow \psi_2\} \vdash_{\mathcal{IPL}} (\varphi_1 \rightarrow \psi_1) \rightarrow (\varphi_2 \rightarrow \psi_2).$

## Lemma

The following formulas are theorems of  $\mathcal{IPL}$ :

- ①  $(\varphi \rightarrow \psi) \rightarrow ((\psi \rightarrow \delta) \rightarrow (\varphi \rightarrow \delta))$
- ②  $(\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow (\psi \vee \delta))$
- ③  $\varphi \rightarrow (\psi \rightarrow (\delta \vee \varphi))$
- ④  $(\varphi \rightarrow (\psi \rightarrow \delta)) \rightarrow (\psi \rightarrow (\varphi \rightarrow \delta))$
- ⑤  $(\varphi \rightarrow \delta) \rightarrow ((\psi \rightarrow \delta) \rightarrow ((\varphi \vee \psi) \rightarrow \delta))$
- ⑥  $(\varphi \rightarrow \psi) \rightarrow ((\psi \rightarrow \delta) \rightarrow (\varphi \rightarrow \delta))$
- ⑦  $(\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow (\psi \vee \delta))$
- ⑧  $\varphi \rightarrow (\psi \rightarrow (\delta \vee \varphi))$
- ⑨  $(\varphi \rightarrow (\psi \rightarrow \delta)) \rightarrow (\psi \rightarrow (\varphi \rightarrow \delta))$
- ⑩  $(\varphi \rightarrow \delta) \rightarrow ((\psi \rightarrow \delta) \rightarrow ((\varphi \vee \psi) \rightarrow \delta))$

The following list of theorems of  $\mathcal{IPL}$  is helpful:

- 1  $(\varphi \wedge \psi) \rightarrow (\psi \wedge \varphi)$
- 2  $(\varphi \vee \psi) \rightarrow (\psi \vee \varphi)$
- 3  $(\varphi \wedge (\psi \wedge \delta)) \leftrightarrow ((\varphi \wedge \psi) \wedge \delta)$
- 4  $(\varphi \vee (\psi \vee \delta)) \leftrightarrow ((\varphi \vee \psi) \vee \delta)$
- 5  $(\varphi \wedge (\psi \vee \delta)) \leftrightarrow ((\varphi \wedge \psi) \vee (\varphi \wedge \delta))$
- 6  $(\varphi \vee (\psi \wedge \delta)) \leftrightarrow ((\varphi \vee \psi) \wedge (\varphi \vee \delta))$
- 7  $\varphi \rightarrow \neg\neg\varphi$
- 8  $(\varphi \rightarrow (\psi \rightarrow \delta)) \leftrightarrow ((\varphi \wedge \psi) \rightarrow \delta)$
- 9  $(\varphi \rightarrow \psi) \rightarrow (\neg\psi \rightarrow \neg\varphi)$
- 10  $\varphi \rightarrow (\neg\varphi \rightarrow \psi)$
- 11  $\neg(\varphi \vee \psi) \leftrightarrow (\neg\varphi \wedge \neg\psi)$
- 12  $(\neg\varphi \vee \neg\psi) \rightarrow \neg(\varphi \wedge \psi)$
- 13  $\perp \leftrightarrow (\varphi \wedge \neg\varphi)$
- 14  $\neg\varphi \leftrightarrow \neg\neg\neg\varphi$
- 15  $(\varphi \wedge \neg\psi) \rightarrow \neg(\varphi \rightarrow \psi)$
- 16  $\neg\neg(\varphi \rightarrow \psi) \leftrightarrow (\neg\neg\varphi \rightarrow \neg\neg\psi)$
- 17  $\neg\neg(\varphi \wedge \psi) \leftrightarrow (\neg\neg\varphi \wedge \neg\neg\psi)$

# The algebraization of $\mathcal{IPL}$

**Heyting algebras:** *algebraic semantics* of Intuitionistic logic.

The tie is as strong as it is possible

# The algebraization of $\mathcal{IPL}$

**Heyting algebras:** *algebraic semantics* of Intuitionistic logic.

The tie is as strong as it is possible

## Definition

An algebra  $\mathbf{A} = \langle A, \wedge^{\mathbf{A}}, \vee^{\mathbf{A}}, \rightarrow^{\mathbf{A}}, \top^{\mathbf{A}}, \perp^{\mathbf{A}} \rangle$  is a **Heyting algebra** if

1.  $\langle A, \wedge^{\mathbf{A}}, \vee^{\mathbf{A}}, \top^{\mathbf{A}}, \perp^{\mathbf{A}} \rangle$  is a *bounded distributive lattice*, that is,
  - 1.1.  $\langle A, \wedge^{\mathbf{A}}, \vee^{\mathbf{A}} \rangle$  is a distributive lattice and
  - 1.2.  $a \vee^{\mathbf{A}} \top^{\mathbf{A}} = \top^{\mathbf{A}}$  and  $a \wedge^{\mathbf{A}} \perp^{\mathbf{A}} = \perp^{\mathbf{A}}$ , for every  $a \in A$ .
2. for every  $a, b, c \in A$ ,

$$(a \wedge^{\mathbf{A}} c) \leq b \quad \text{iff} \quad c \leq (a \rightarrow^{\mathbf{A}} b),$$

where  $\leq$  is the lattice ordering.

The operation  $\rightarrow$  is the residuum operation of  $\wedge$ .

Heyting algebras are also known as pseudo Boolean algebras.

A **valuation** on a Heyting algebra **A**: map  $v : Var \rightarrow A$ .

We identify valuations on **A** with homomorphisms from **Fm** to **A**.

Thus,

$v \in \text{Hom}(\mathbf{Fm}, \mathbf{A})$  means:  $v$  is a valuation on **A**.

Given a Heyting algebra **A** and a valuation  $v$  on **A**,

- $v$  **satisfies** a formula  $\varphi$  if  $v(\varphi) = \top^{\mathbf{A}}$
- $v$  **satisfies** a set of formulas  $\Gamma$  if it satisfies any one of its elements.

Let HA be the class of Heyting algebras.

The relation  $\models_{\text{HA}}$  between subsets of *Fm* and elements of *Fm* is defined by

$$\Gamma \models_{\text{HA}} \varphi \text{ iff } (\forall \mathbf{A} \in \text{HA})(\forall v \in \text{Hom}(\mathbf{Fm}, \mathbf{A}))(v \text{ satisfies } \Gamma \Rightarrow v \text{ satisfies } \varphi).$$

## Proposition

$\models_{\text{HA}}$  is a finitary and substitution-invariant consequence relation.



# An algebraic completeness theorem

## Theorem (Soundness of $\mathcal{IPL}$ )

For any set of formulas  $\Gamma$  and any formula  $\varphi$ ,

$$\text{if } \Gamma \vdash_{\mathcal{IPL}} \varphi \text{ then } \Gamma \models_{\text{HA}} \varphi.$$

**Exercise:** Prove the soundness theorem by showing that for every derivable sequent  $\Delta \triangleright \varphi$  in **LJ** we have  $\Delta \models_{\text{HA}} \varphi$ , taking  $\Delta$  as a set.

To prove the converse of the soundness theorem, namely, the completeness theorem, it is enough to show that if  $\Gamma \not\vdash_{\mathcal{IPL}} \varphi$  then there is a Heyting algebra and a valuation that satisfies  $\Gamma$  but not  $\varphi$ .

To obtain an algebra with these properties we will use

**the technique of Lindenbaum-Tarski algebras.**

# Lindenbaum-Tarski algebras for $\mathcal{IP}\mathcal{L}$

Given a theory  $T$  of  $\mathcal{IP}\mathcal{L}$  we define the binary relation  $\Omega(T)$  on  $Fm$  by:

$$\langle \varphi, \psi \rangle \in \Omega(T) \text{ iff } T \vdash_{\mathcal{IP}\mathcal{L}} (\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi).$$

We abbreviate  $(\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$  by  $\varphi \leftrightarrow \psi$ .

$\Omega(T)$  has the properties:

①  $\Omega(T)$  is a congruence relation on the formula algebra;

②  $\Omega(T)$  is *compatible* with  $T$ , namely, for every  $\varphi$  and  $\psi$

$$\text{if } \langle \varphi, \psi \rangle \in \Omega(T) \text{ and } \varphi \in T, \text{ then } \psi \in T;$$

③  $\Omega(T)$  is the greatest congruence compatible with  $T$ , i.e. with the above property;

④ It holds that for any formula  $\varphi$ ,

$$\langle \varphi, \top \rangle \in \Omega(T) \text{ iff } \varphi \in T;$$

The proof of these properties goes as follows:

1. That  $\Omega(T)$  is an equivalence relation follows from the definition and Lemma 3. That it is a congruence relation follows from Lemma 3.
2. That  $\Omega(T)$  is compatible with  $T$  follows immediately from the definition, using Modus Ponens.
3. It is left as an exercise to prove that  $\Omega(T)$  is the greatest congruence compatible with  $T$ .
4. It is left as an exercise

The fact that  $\Omega(T)$  is a congruence of  $\mathbf{Fm}_L$  allows to consider the quotient algebra

$$\mathbf{Fm}/\Omega(T).$$

This algebra is called the **Lindenbaum-Tarski algebra** of  $T$ .

We have:

(5)  $\mathbf{Fm}/\Omega(T)$  is a Heyting algebra.

(6) The valuation  $v_T$  on  $\mathbf{Fm}/\Omega(T)$  defined by

$$v_T(p) = p/\Omega(T),$$

for every  $p \in Var$ , has the property that

$$v_T(\varphi) = \varphi/\Omega(T),$$

for every formula  $\varphi$ .

(6) and (4) above imply:

$$v_T(\varphi) = \top^{\mathbf{Fm}/\Omega(T)} \quad \text{iff} \quad \varphi \in T$$

for every formula  $\varphi$ .

Then the completeness theorem follows.

## Theorem (Completeness of $\mathcal{IPL}$ )

For any set of formulas  $\Gamma$  and any formula  $\varphi$ ,

$$\Gamma \vdash_{\mathcal{IPL}} \varphi \quad \text{iff} \quad \Gamma \models_{\text{HA}} \varphi.$$

### Proof.

Assume that  $\Gamma \not\vdash_{\mathcal{IPL}} \varphi$ .

Let  $T$  be the theory generated by  $\Gamma$ .

Consider its Lindenbaum-Tarski algebra  $\mathbf{Fm}/\Omega(T)$  and the valuation  $v_T$ .

By the above properties,  $\mathbf{Fm}/\Omega(T)$  is a Heyting algebra.

Moreover, for every  $\psi \in \Gamma$ ,  $v_T(\psi) = \top^{\mathbf{Fm}/\Omega(T)}$  and  $v_T(\varphi) \neq \top^{\mathbf{Fm}/\Omega(T)}$ .

Thus,  $\Gamma \not\models_{\text{HA}} \varphi$ . □

## Remark

*In the proof of the Completeness Theorem we have used properties (1), (2) and (4) above.*

*Property (3) gives a characterization of  $\Omega(T)$  which does not use its definition by means of  $\leftrightarrow$ . Thus,*

*given an arbitrary logical system  $\mathcal{L}$  we can always take (3) as a definition of a congruence associated with a theory of  $\mathcal{L}$ .*

## Remark

The relation  $\Omega(T)$  has an important property in Intuitionistic logic, that follows from the deduction theorem:

(7)  $\Omega(T)$  is the interderivability relation modulo  $T$ , that is:

$$\langle \varphi, \psi \rangle \in \Omega(T) \quad \text{iff} \quad \langle \varphi, \psi \rangle \in \Lambda_{IPC}(T) \quad \text{iff} \quad T, \varphi \vdash_{IPL} \psi \text{ and } T, \psi \vdash_{IPL} \varphi.$$

When we apply the Lindenbaum-Tarski method to other logical systems it could happen that properties (1)-(4) hold but (7) doesn't. This happens in the modal logical system  $gK$ .

If in general, we would like to adopt Condition (7) as a definition of the congruence associated with a theory we will run into trouble. There are logical systems where the relation defined by (7) is not a congruence relation,  $gK$  for instance.

The greatest congruence which is included in the interderivability relation  $\Lambda_{\mathcal{L}}(T)$  of an arbitrary theory of  $\mathcal{L}$  always exists: This relation plays an important role in the theory of the algebraization of the logical systems.

# Exploring the connection between Heyting algebras and $\mathcal{IPL}$ further

Heyting algebras and intuitionistic logic are deeply related.

Their relation is stronger than the one established in the Completeness Theorem.

## HEYTING ALGEBRAS EQUATIONAL CONSEQUENCE RELATION

Let  $L$  be the type of Heyting algebras. Recall:

- the  $L$ -terms over  $Var$  are the  $L$ -formulas over  $Var$ .

We associate with HA an equational consequence relation  $\models_{\text{HA}}$  between sets of  $L$ -equations and  $L$ -equations as follows:

Let  $\Delta$  be a set of equations and let  $\varphi \approx \psi$  be an equation.

We define:

$$\Delta \models_{\text{HA}} \varphi \approx \psi \text{ iff } \text{for every } \mathbf{B} \in \text{HA} \text{ and every valuation } v \text{ on } \mathbf{B}, \\ \text{if } \mathbf{B} \models \Delta[v], \text{ then } \mathbf{B} \models \varphi \approx \psi[v].$$



Note that:

A valuation  $v$  on a Heyting algebra  $\mathbf{B}$  satisfies a formula  $\varphi$  iff  $v(\varphi) = v(\top)$ .  
Therefore, the Completeness Theorem can be restated as:

## Theorem

*For any set of formulas  $\Gamma$  and any formula  $\varphi$ ,*

$$\Gamma \vdash_{\text{IPL}} \varphi \text{ iff } \{\psi \approx \top : \psi \in \Gamma\} \models_{\text{HA}} \varphi \approx \top.$$

# $\mathcal{IPL}$ as an algebraizable logical system

In addition to the above theorem, if  $\mathbf{B}$  is a Heyting algebra and  $v \in \text{Hom}(\mathbf{Fm}, \mathbf{B})$ , then for all formulas  $\varphi$  and  $\psi$ ,

$$\mathbf{B} \models \varphi \approx \psi[v] \text{ iff } v(\varphi) = v(\psi) \text{ iff } v(\varphi \leftrightarrow \psi) = \top^{\mathbf{B}} = v(\top) \text{ iff } \mathbf{B} \models (\varphi \leftrightarrow \psi) \approx \top[v]$$

This observation can be restated in the following form:

$$\varphi \approx \psi \models_{\text{HA}} (\varphi \leftrightarrow \psi) \approx \top \quad \text{and} \quad (\varphi \leftrightarrow \psi) \approx \top \models_{\text{HA}} \varphi \approx \psi.$$

We have:

## Theorem

For any set  $\{\psi_i \approx \varphi_i : i \in I\}$  of equations and any equation  $\varphi \approx \psi$ ,

$$\{\psi_i \approx \varphi_i : i \in I\} \models_{\text{HA}} \varphi \approx \psi \quad \text{iff} \quad \{(\varphi_i \leftrightarrow \psi_i) : i \in I\} \vdash_{\mathcal{IPL}} (\varphi \leftrightarrow \psi).$$

Moreover,

$$\varphi \vdash_{\mathcal{IPL}} \varphi \leftrightarrow \top \quad \text{and} \quad \varphi \leftrightarrow \top \vdash_{\mathcal{IPL}} \varphi.$$

The above facts show that there are two translations,

- **translation**  $\tau$ : **formulas**  $\longrightarrow$  **equations**:  $\varphi \longmapsto \varphi \approx \top$ .
- **translation**  $\rho$ : **equations**  $\longrightarrow$  **formulas**:  $\varphi \approx \psi \longmapsto \varphi \leftrightarrow \psi$ .

such that Intuitionistic logic can be faithfully interpreted into  $\models_{\text{HA}}$  and conversely, namely:

1. for any set of formulas  $\Gamma$  and any formula  $\varphi$ ,

$$\Gamma \vdash_{\text{IPL}} \varphi \text{ iff } \tau[\Gamma] \models_{\text{HA}} \tau(\varphi),$$

2. for any set of equations  $E$  and any equation  $\varphi \approx \psi$ ,

$$E \models_{\text{HA}} \varphi \approx \psi \text{ iff } \rho[E] \vdash_{\text{IPL}} \rho(\varphi \approx \psi).$$

And that the translations are inverse to one another:

3. for every equation  $\varphi \approx \psi$

$$\varphi \approx \psi \models_{\text{HA}} \tau(\rho(\varphi \approx \psi)) \text{ and } \tau(\rho(\varphi \approx \psi)) \models_{\text{HA}} \varphi \approx \psi,$$

4. for every formula  $\varphi$ ,

$$\varphi \models_{\text{HA}} \rho(\tau(\varphi)) \text{ and } \rho(\tau(\varphi)) \models_{\text{HA}} \varphi.$$

## Definition (Blok-Pigozzi)

A logical system  $\mathcal{L} = \langle L, \vdash_{\mathcal{L}} \rangle$  is **algebraizable** if there are a class of  $L$ -algebras  $\mathbf{K}$ , a translation  $\tau$  of formulas into finite sets of equations:

$$\varphi \longmapsto \tau(\varphi) \subseteq Eq(L)$$

and a translation  $\rho$  of equations into finite sets of formulas:

$$\varphi \approx \psi \longmapsto \rho(\varphi \approx \psi) \subseteq Fm_L$$

such that:

- ①  $\Gamma \vdash_{\mathcal{L}} \varphi$  iff  $\tau[\Gamma] \models_{\mathbf{K}} \tau(\varphi)$ ,
- ②  $E \models_{\mathbf{K}} \varphi \approx \psi$  iff  $\rho[E] \vdash_{\mathcal{L}} \rho(\varphi \approx \psi)$ ,
- ③  $\varphi \approx \psi \models_{\mathbf{K}} \tau(\rho(\varphi \approx \psi))$  and  $\tau(\rho(\varphi \approx \psi)) \models_{\mathbf{K}} \varphi \approx \psi$ ,
- ④  $\varphi \models_{\mathbf{K}} \rho(\tau(\varphi))$  and  $\rho(\tau(\varphi)) \models_{\mathbf{K}} \varphi$ ,

The class of algebras  $K$  is called **an equivalent algebraic semantics** for  $L$ .

If  $L$  is algebraizable, there is a greatest class of algebras which is an equivalent algebraic semantics for  $L$ . This class is always a quasivariety. It is called **the equivalent algebraic semantics** for  $L$ .

# Filters and congruences in Heyting algebras: logical meaning

## FILTERS, IMPLICATIVE FILTERS AND LOGICAL FILTERS

Any Heyting algebra has a lattice reduct, thus we have the algebraic notion of (lattice-) filter.

Let  $\mathbf{A} = \langle A, \wedge^{\mathbf{A}}, \vee^{\mathbf{A}}, \rightarrow^{\mathbf{A}}, \top^{\mathbf{A}}, \perp^{\mathbf{A}} \rangle$  be a Heyting algebra.

A set  $F \subseteq A$  is a **filter** if

- ①  $\top^{\mathbf{A}} \in F$ ;
- ② if  $a, b \in F$ , then  $a \wedge b \in F$ ;
- ③ if  $a \in F$  and  $a \leq b$ , then  $b \in F$ .

The collection of all filters of a Heyting algebra  $\mathbf{A}$  is closed under arbitrary intersections of non-empty families and contains  $A$ . It is a complete lattice, whose order is the inclusion relation.

There is an apparently different notion of filter for Heyting algebras of a more logical nature:

Let  $\mathbf{A}$  be a Heyting algebra.

A non-empty subset  $F$  of  $A$  is an **implicative filter** if

- ①  $1 \in F$ ;
- ② if  $x, x \rightarrow y \in F$ , then  $y \in F$  (Detachment property)

The algebraic notion of filter is not apparently related to Intuitionistic logic.

A notion of filter defined purely in logical terms is that of **logical filter** of  $\mathcal{IPL}$ , or  $\mathcal{IPL}$ -filter.

### Definition

Let  $\mathbf{A}$  be a Heyting algebra.

$F \subseteq A$  is an  $\mathcal{IPL}$ -filter if for every valuation  $v$  on  $\mathbf{A}$ , every set of formulas  $\Gamma$  and every formula  $\varphi$ , if  $\Gamma \vdash_{\mathcal{IPL}} \varphi$  and  $v[\Gamma] \subseteq F$ , then  $v(\varphi) \in F$ .

The  $\mathcal{IPL}$ -filters of a Lindenbaum-Tarski algebra  $\mathbf{Fm}/\Omega(T)$  correspond to the theories of  $\mathcal{IPL}$ :

$$T \mapsto \{\varphi/\Omega(T) : \varphi \in T\} \qquad F \mapsto \{\varphi : \varphi/\Omega(T) \in F\}$$

Interesting facts about the notion of logical filter:

- ① It can have a *purely algebraic characterization*, because: For any Heyting algebra  $\mathbf{A}$ , the following are equivalent:
  - ①  $F$  is an  $\mathcal{IPL}$ -filter,
  - ②  $F$  is a filter,
  - ③  $F$  is an implicative filter.
- ② It *can be defined for every algebra*. Let  $\mathbf{A}$  be an algebra. A set  $F \subseteq A$  is an  $\mathcal{IPL}$ -filter if for every valuation  $v$  on  $\mathbf{A}$ , every set of formulas  $\Gamma$  and every formula  $\varphi$ , if  $\Gamma \vdash_{\mathcal{IPL}} \varphi$  and  $v[\Gamma] \subseteq F$ , then  $v(\varphi) \in F$ .

Moreover,

- this notion **generalizes to every logical system**.

## Definition

Let  $\mathcal{L} = \langle L, \vdash_{\mathcal{L}} \rangle$  be a logical system and  $\mathbf{A}$  an  $L$ -algebra. A set  $F \subseteq A$  is a  $\mathcal{L}$ -filter if for every valuation  $v$  on  $\mathbf{A}$ , every set of formulas  $\Gamma$  and every formula  $\varphi$ , if  $\Gamma \vdash \varphi$  and  $v[\Gamma] \subseteq F$ , then  $v(\varphi) \in F$ .

The **theories** of  $\mathcal{L}$  are the **logical filters** of  $\mathbf{Fm}_L$



# Congruences associated with filters

In a similar way that we defined a congruence relation for every  $\mathcal{IPL}$ -theory, given an algebra  $\mathbf{A}$  we can define a congruence for every  $\mathcal{IPL}$ -filter of  $\mathbf{A}$ .

An equivalence relation  $R$  on the carrier of  $\mathbf{A}$  is said to be **compatible** with  $F \subseteq A$  if for every  $a, b \in A$  such that  $\langle a, b \rangle \in R$  and  $a \in F$  it holds that  $b \in F$ .

The **Leibniz congruence of an  $\mathcal{IPL}$ -filter  $F$**  of  $\mathbf{A}$  is the greatest congruence of  $\mathbf{A}$  that is compatible with  $F$  (which always exists) and it is denoted by  $\Omega^{\mathbf{A}}F$ .

## Theorem

Let  $\mathbf{A}$  be an algebra.

- ① For every  $\mathcal{IPL}$ -filter  $F$  of  $\mathbf{A}$ ,
  - ①  $\Omega^{\mathbf{A}}F = \{\langle a, b \rangle \in A \times A : a \rightarrow b, b \rightarrow a \in F\}$ .
  - ②  $F = 1/\Omega^{\mathbf{A}}F$ .
- ② For every congruence  $\theta$  of  $\mathbf{A}$  such that  $\mathbf{A}/\theta$  is a Heyting algebra,
  - ①  $1/\theta$  is a  $\mathcal{IPL}$ -filter of  $\mathbf{A}$ ,
  - ②  $\Omega^{\mathbf{A}}(1/\theta) = \theta$ .
- ③ The operator  $\Omega^{\mathbf{A}}(.)$  gives an isomorphism between the lattice of  $\mathcal{IPL}$ -filters of  $\mathbf{A}$  and the lattice of congruences  $\theta$  of  $\mathbf{A}$  such that  $\mathbf{A}/\theta \in \mathbf{HA}$ .

As a consequence we have:

*in any Heyting algebra  $\mathbf{A}$  the Leibniz operator is an isomorphism between the lattice of filters of  $\mathbf{A}$  and the lattice of congruences of  $\mathbf{A}$ .*

This is a known algebraic result, now with a clear logical meaning.

The class of Heyting algebras is

$$\mathbf{HA} := \{\mathbf{A}/\Omega_{\mathbf{A}}F : F \text{ is an } \mathcal{IPL}\text{-filter of } \mathbf{A}\}.$$

and it is the equivalent algebraic semantics of  $\mathcal{IPL}$ .

An important property of  $\mathcal{IPL}$ .

Recall that for every  $\mathcal{IPL}$ -theory  $T$ ,  $\Omega(T)$  is the interderivability relation modulo  $T$ . Similarly:

For every algebra  $\mathbf{A}$  and every  $\mathcal{IPL}$ -filter  $F$  of  $\mathbf{A}$ ,

$$\langle a, b \rangle \in \Omega^{\mathbf{A}}(F) \quad \text{iff} \quad (\forall G \in \text{Fi}_{\mathcal{IPL}} \mathbf{A})(F \subseteq G \Rightarrow (a \in G \Leftrightarrow b \in G)).$$

Given an arbitrary logical system  $\mathcal{L}$ , an algebra  $\mathbf{A}$  and an  $\mathcal{L}$ -filter  $F$  of  $\mathbf{A}$ , the clause

$$(1) \quad (\forall G \in \text{Fi}_{\mathcal{L}} \mathbf{A})(F \subseteq G \Rightarrow (a \in G \Leftrightarrow b \in G))$$

does not necessarily define a congruence relation. But there always exists the greatest congruence included in the relation defined by (1).

This relation is called the **Suszko  $\mathcal{L}$ -congruence** of  $F$  on  $\mathbf{A}$ . It is denoted by  $\tilde{\Omega}_{\mathcal{L}}^{\mathbf{A}}(F)$ .

## Proposition

*If  $\mathcal{L}$  is an algebraizable logical system, then for every  $\mathbf{A}$  and every  $F \in \text{Fi}_{\mathcal{L}} \mathbf{A}$ ,  $\tilde{\Omega}_{\mathcal{L}}^{\mathbf{A}}(F) = \Omega^{\mathbf{A}}(F)$ .*

There are logical systems where  $\tilde{\Omega}_{\mathcal{L}}^{\mathbf{A}}(F)$  and  $\Omega^{\mathbf{A}}(F)$  can be different for some algebra  $\mathbf{A}$  and some  $F \in \text{Fi}_{\mathcal{L}} \mathbf{A}$ .

# The class of algebras of a logical system

The Suszko congruences give the way to canonically associate a class of algebras with every logical system.

The class of algebras canonically associated with  $\mathcal{L}$  is

$$\mathbf{Alg}(\mathcal{L}) = \{\mathbf{A}/\tilde{\Omega}_{\mathcal{L}}^{\mathbf{A}}(F) : F \in \mathbf{Fi}_{\mathcal{L}}\mathbf{A}\}.$$

Thus, for  $\mathcal{IPL}$ ,

$$\mathbf{Alg}(\mathcal{IPL}) = \mathbf{HA}$$

# Algebraizable logical systems II

Let  $\mathcal{L}$  be a logical system. The *Leibniz congruence* of an  $\mathcal{L}$ -filter  $F$  of an algebra  $\mathbf{A}$  is the greatest congruence of  $\mathbf{A}$  that is compatible with  $F$  (which always exists) and is denoted by  $\Omega^{\mathbf{A}}F$ .

If  $\mathcal{L}$  is algebraizable, then

- 1 the greatest equivalent semantics of  $\mathcal{L}$  is the class of algebras

$$\mathbf{Alg}^*(\mathcal{L}) = \{\mathbf{A}/\Omega_{\mathbf{A}}F : F \text{ is an } \mathcal{L}\text{-filter of } \mathbf{A}\}.$$

And

$$\mathbf{Alg}(\mathcal{L}) = \mathbf{Alg}^*(\mathcal{L}).$$

- 2 for every algebra  $\mathbf{A}$ , the operator  $\Omega^{\mathbf{A}}(.)$  gives an isomorphism between the lattice of  $\mathcal{L}$ -filters of  $\mathbf{A}$  and the lattice of congruences  $\theta$  of  $\mathbf{A}$  such that  $\mathbf{A}/\theta \in \mathbf{Alg}^*(\mathcal{L}) = \mathbf{Alg}(\mathcal{L})$ .

# The preorder given by the logical filters

Given a logical system and an algebra, the logical filters of the algebra induce a quasi-order (or preorder) on the algebra.

Let  $\mathcal{L}$  be a logical system and  $\mathbf{A}$  an  $L$ -algebra. The relation  $\leq_{\mathcal{L}}$  on  $A$  is defined as follows:

$$a \leq_{\mathcal{L}} b \text{ iff } (\forall F \in \text{Fi}_{\mathcal{L}} \mathbf{A})(a \in F \Rightarrow b \in F)$$

This relation is always transitive and reflexive, hence a quasi-order on  $A$ . The naturally associated equivalence relation given by

$$a \sim_{\mathcal{L}}^{\mathbf{A}} b \text{ iff } a \leq_{\mathcal{L}}^{\mathbf{A}} b \text{ and } b \leq_{\mathcal{L}}^{\mathbf{A}} a.$$

plays an important role. If  $\mathbf{A} = \mathbf{Fm}_L$ , then  $\sim_{\mathcal{L}}^{\mathbf{Fm}_L} = \Lambda_{\mathcal{L}}$ .

For some logical systems  $\mathcal{L}$ , on every algebra  $\mathbf{A}$ ,  $\sim_{\mathcal{L}}^{\mathbf{A}}$  is a congruence, but for others need not be.

If  $\mathbf{A}$  is a Heyting algebra, then  $\leq_{\mathcal{L}}^{\mathbf{A}}$  is a partial order and it is the lattice order of  $\mathbf{A}$ . We know that in the Tarski-Lindenbaum algebra  $\mathbf{Fm}/\Omega(T)$ , for every formulas  $\varphi, \psi$ ,

$$\varphi/\Omega(T) \leq \psi/\Omega(T) \text{ iff } T \vdash_{\mathcal{L}} \varphi \rightarrow \psi \text{ iff } T, \varphi \vdash_{\mathcal{L}} \psi.$$

Thus,

$$\varphi/\Omega(T) \leq_{IPC} \psi/\Omega(T) \text{ iff } T, \varphi \vdash_{\mathcal{L}} \psi.$$

# The algebraization of **LJ**

Recall: the notion of derivation in **LJ** from a set of sequents defines a relation between sets of sequents and sequents, that we denote by  $\vdash_{\mathbf{LJ}}$  and is defined as follows. Let **S** be a set of sequents and let  $\Gamma \triangleright \Delta$  be a sequent.

$\mathbf{S} \vdash_{\mathbf{LJ}} \Gamma \triangleright \Delta$  iff there is derivation of  $\Gamma \triangleright \Delta$  from **S**.

If **S** and **S'** are sets of sequents,  $\mathbf{S} \vdash_{\mathbf{LJ}} \mathbf{S}'$  means that for every sequent  $\Gamma \triangleright \Delta \in \mathbf{S}'$ ,  $\mathbf{S} \vdash_{\mathbf{LJ}} \Gamma \triangleright \Delta$ .

We translate sequents into inequalities.

Obvious how to *translate* a binary sequent  $\varphi \triangleright \psi$  into an inequality:

$$\eta(\varphi \triangleright \psi) := \varphi \leq \psi.$$

This suggest how to translate a sequent  $\Delta \triangleright \Gamma$  into an inequality.

$$\tau(\Delta \triangleright \Gamma) := \eta(t(\Delta \triangleright \Gamma)).$$



Thus,

- $\tau(\emptyset \triangleright \varphi) = \top \leq \varphi$
- $\tau(\varphi \triangleright \emptyset) = \varphi \leq \perp$
- $\tau(\langle \psi_0, \dots, \psi_{n-1} \rangle \triangleright \varphi) = \psi_0 \wedge \dots \wedge \psi_{n-1} \leq \varphi.$
- $\tau(\langle \psi_0, \dots, \psi_{n-1} \rangle \triangleright \emptyset) = \psi_0 \wedge \dots \wedge \psi_{n-1} \leq \perp.$

Let us write  $\bigwedge \emptyset = \top$  and  $\bigvee \emptyset = \perp$ . With this convention,

$$\tau(\Gamma \triangleright \Delta) = \bigwedge \Gamma \leq \bigvee \Delta.$$

Since an inequality  $\varphi \leq \psi$  can be expressed by the equation  $\varphi \wedge \psi \approx \varphi$ , we assume that inequalities “are” equations.

## Theorem (Extended completeness theorem)

*Let  $\mathbf{S}$  be a set of sequents and let  $\Delta \triangleright \varphi$  be a sequent. The following are equivalent:*

- ①  $\mathbf{S} \vdash_{\mathbf{LJ}} \Gamma \triangleright \Delta,$
- ②  $t(\mathbf{S}) \vdash_{\mathbf{LJ}} t(\Gamma \triangleright \Delta)$
- ③  $\tau(\mathbf{S}) \models_{\mathbf{HA}} \tau(\Gamma \triangleright \Delta).$

### Proof:

We know that (1) and (2) are equivalent.

The implication from (1) to (3) can be proved by induction on the derivations in **LJ** from **S**.

To prove the implication from (3) to (2) we will use a **new version** of Lindenbaum-Tarski's method.

Suppose  $t(\mathbf{S}) \not\vdash_{\mathbf{LJ}} t(\Delta \triangleright \varphi)$ . Let us consider the set of binary sequents

$$Th_{\mathbf{LJ}}(t(\mathbf{S})) = \{\varphi \triangleright \psi : t(\mathbf{S}) \vdash_{\mathbf{LJ}} \varphi \triangleright \psi\}.$$

Let

$$\Omega(\mathbf{S}) = \{\langle \varphi, \psi \rangle : \varphi \triangleright \psi, \psi \triangleright \varphi \in Th_{\mathbf{LJ}}(t(\mathbf{S}))\}.$$

Then

- ①  $\Omega(\mathbf{S})$  is a congruence of **Fm** which is compatible with  $Th_{\mathbf{LJ}}(t(\mathbf{S}))$ , that is, such that

$$\text{if } \langle \varphi, \varphi' \rangle, \langle \psi, \psi' \rangle \in \Omega(\mathbf{S}) \text{ and } \varphi \triangleright \psi \in Th_{\mathbf{LJ}}, \text{ then } \varphi' \triangleright \psi' \in Th_{\mathbf{LJ}}.$$

- ②  $\Omega(\mathbf{S})$  is the greatest congruence of **Fm** which is compatible with  $Th_{\mathbf{LJ}}(t(\mathbf{S}))$ .

Consider the algebra  $\mathbf{Fm}/\Omega(\mathbf{S})$  and the valuation  $\nu$  on it that sends every propositional variable  $p$  to  $[p] = \{\varphi : \langle p, \varphi \rangle \in \Omega(\mathbf{S})\}$ . Then

- ①  $\mathbf{Fm}/\Omega(\mathbf{S})$  is a Heyting algebra.
- ② for every sequent  $\Gamma \triangleright \Delta$ ,

$$\mathbf{Fm}/\Omega(\mathbf{S}) \models \tau(\Gamma \triangleright \Delta)[\nu] \quad \text{iff} \quad t(\Gamma \triangleright \Delta) \in Th_{\mathbf{LJ}}(t(\mathbf{S})).$$

The proof of (1) is left to the reader. To prove (2) we proceed as follows

$$\begin{aligned}
 \mathbf{Fm}/\Omega(\mathbf{S}) \models \tau(\Gamma \triangleright \Delta)[\nu] & \quad \text{iff} \quad \nu(\bigwedge \Gamma) \leq \nu(\bigvee \Delta) \\
 & \quad \text{iff} \quad [\bigwedge \Gamma] \leq [\bigvee \Delta] \\
 & \quad \text{iff} \quad [\bigvee \Delta] \wedge [\bigwedge \Gamma] = [\bigwedge \Gamma] \\
 & \quad \text{iff} \quad \langle \bigvee \Delta \wedge \bigwedge \Gamma, \bigwedge \Gamma \rangle \in \Omega(\mathbf{S}) \\
 & \quad \text{iff} \quad \bigvee \Delta \wedge \bigwedge \Gamma \triangleright \bigwedge \Gamma, \\
 & \quad \quad \bigwedge \Gamma \triangleright \bigvee \Delta \wedge \bigwedge \Gamma \in Th_{\mathbf{LJ}}(t(\mathbf{S})) \\
 & \quad \text{iff} \quad \bigwedge \Gamma \triangleright \bigvee \Delta \in Th_{\mathbf{LJ}}(t(\mathbf{S})) \\
 & \quad \text{iff} \quad t(\Gamma \triangleright \Delta) \in Th_{\mathbf{LJ}}(t(\mathbf{S}))
 \end{aligned}$$

It follows that  $\tau(\mathbf{S}) \not\models_{\mathbf{HA}} \tau(\Delta \triangleright \varphi)$ .

Any equation  $\varphi \approx \psi$  can be turned into an equivalent pair of inequalities  $\varphi \leq \psi$  and  $\psi \leq \varphi$  and translated into a pair of sequents  $\varphi \triangleright \psi$  and  $\psi \triangleright \varphi$ .

We let

$$\rho(\varphi \approx \psi) = \{\varphi \triangleright \psi, \psi \triangleright \varphi\}.$$

## Theorem

For any equation  $\varphi \approx \psi$ ,

$$\varphi \approx \psi \models_{\text{HA}} \tau(\rho(\varphi \approx \psi)) \text{ and } \tau(\rho(\varphi \approx \psi)) \models_{\text{HA}} \varphi \approx \psi.$$

As a consequence:

## Theorem

For any sequent  $\Delta \triangleright \varphi$ ,

$$\{\Delta \triangleright \varphi\} \vdash_{\text{LJ}} \rho(\tau(\Delta \triangleright \varphi)) \text{ and } \rho(\tau(\Delta \triangleright \varphi)) \vdash_{\text{LJ}} \varphi \Delta \triangleright \varphi.$$

## Theorem

For any set of equations  $\{\varphi_i \approx \psi_i : i \in I\}$  and any equation  $\varphi \approx \psi$ ,

$$\{\varphi_i \approx \psi_i : i \in I\} \models_{\text{HA}} \varphi \approx \psi \text{ iff } \bigcup \rho(\varphi_i \approx \psi_i) \vdash_{\text{LJ}} \rho(\varphi \approx \psi).$$

# The concept of algebraizable Gentzen calculus

A Gentzen calculus  $\mathbf{G}$  is algebraizable if there is a class of algebras  $\mathbf{K}$ , a translation  $\tau$  from sequents to sets of equations and a translation  $\rho$  from equations to sets of sequents such that

- ① If  $\mathbf{S}$  is a set of sequents and  $\Delta \triangleright \Gamma$  is a sequent, then,

$$\mathbf{S} \vdash_{\mathbf{G}} \Delta \triangleright \Gamma \quad \text{iff} \quad \bigcup \{ \tau(\Gamma \triangleright \Sigma) : \Gamma \triangleright \Sigma \in \mathbf{S} \} \models_{\mathbf{K}} \tau(\Delta \triangleright \Gamma).$$

- ② For any equation  $\varphi \approx \psi$ ,

$$\varphi \approx \psi \models_{\mathbf{K}} \tau(\rho(\varphi \approx \psi)) \quad \text{and} \quad \tau(\rho(\varphi \approx \psi)) \models_{\mathbf{K}} \varphi \approx \psi.$$

(1) and (2) imply:

- (3) For any set of equations  $\{\varphi_i \approx \psi_i : i \in I\}$  and any equation  $\varphi \approx \psi$ ,

$$\{\varphi_i \approx \psi_i : i \in I\} \models_{\mathbf{K}} \varphi \approx \psi \quad \text{iff} \quad \bigcup_{i \in I} \rho(\varphi_i \approx \psi_i) \vdash_{\mathbf{G}} \rho(\varphi \approx \psi).$$

- (4) For any sequent  $\Delta \triangleright \Gamma$ ,

$$\{\Delta \triangleright \Gamma\} \vdash_{\mathbf{G}} \rho(\tau(\Delta \triangleright \Gamma)) \quad \text{and} \quad \rho(\tau(\Delta \triangleright \Gamma)) \vdash_{\mathbf{G}} \Delta \triangleright \Gamma.$$

Let  $\mathbf{A}$  be an algebra and  $v$  be a valuation on  $\mathbf{A}$ .

Then we set

$$v(\varphi \triangleright \psi) = \langle v(\varphi), v(\psi) \rangle,$$

for every binary sequent  $\varphi \triangleright \psi$ .

A binary relation  $R$  on  $A$  is an **LJ-filter** of  $\mathbf{A}$  if for every valuation  $v$  on  $\mathbf{A}$ , every set of binary sequents  $\mathbf{S}$  and every binary sequent  $\varphi \triangleright \psi$ , if  $\mathbf{S} \vdash_{\mathbf{LJ}} \varphi \triangleright \psi$  and  $v[\mathbf{S}] \subseteq R$ , then  $v(\varphi \triangleright \psi) \in R$ .

Every LJ-filter of  $\mathbf{A}$  is a reflexive and transitive relation. If  $\mathbf{A}$  is a Heyting algebra, it is a partial order.

The greatest congruence which is compatible with an LJ-filter  $R$  is

$$\Omega^{\mathbf{A}}(R) = \{ \langle a, b \rangle : \langle a, b \rangle, \langle b, a \rangle \in R \} = R \cap R^{-1}.$$

In fact the operator  $\Omega^{\mathbf{A}}(.)$  gives an isomorphism between the lattice of LJ-filters of  $\mathbf{A}$  and the lattice of congruences  $\theta$  of  $\mathbf{A}$  such that  $\mathbf{A}/\theta$  is a Heyting algebra.

There is a strong relation between LJ-filters and  $\mathcal{IPL}$ -filters.

### Proposition

*Let  $\mathbf{A}$  be an algebra. If  $R$  is an LJ-filter then  $F_R = \{a \in A : \langle \top, a \rangle \in R\}$  is an  $\mathcal{IPL}$ -filter and  $\Omega_{\mathbf{A}}(F_R) = \Omega_{\mathbf{A}}(R)$ .*

### Proposition

*If  $F$  is an  $\mathcal{IPL}$ -filter on  $\mathbf{A}$ , the LJ-filter  $R_F$  on  $\mathbf{A}$  generated by  $\{\langle \top, a \rangle : a \in F\}$  is such that  $F = F_{R_F}$ .*

### Theorem

*For every algebra  $\mathbf{A}$  the lattices of  $\mathcal{IPL}$ -filters, LJ-filters and congruences  $\theta$  such that  $\mathbf{A}/\theta \in \mathbf{HA}$  are isomorphic.*